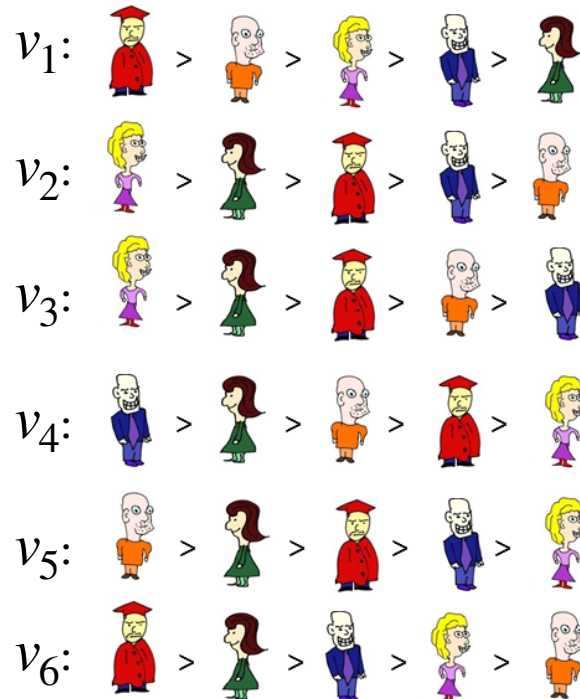


Proportional Algorithms: Rankings

Piotr Skowron
University of Warsaw



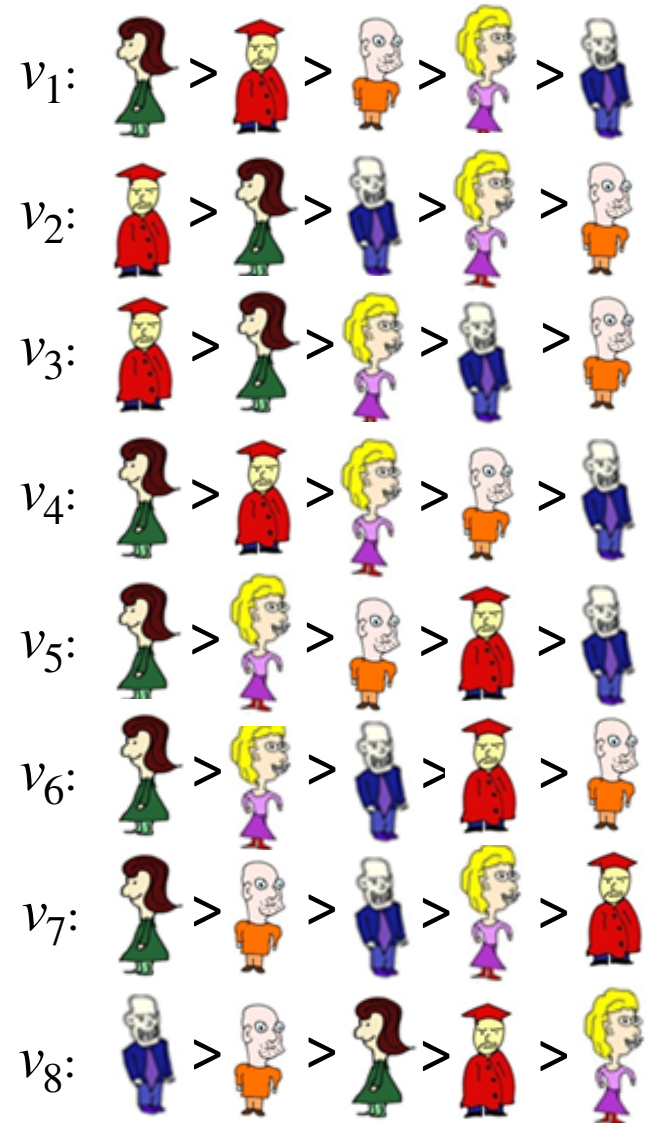
A committee
of size k



Single Transferrable Vote (STV)

Single Transferrable Vote (STV):

1. If there exists a candidate ranked first by at least n/k voters, take this candidate to the committee, remove this candidate from the election, and remove some of her n/k supporters (voters who rank her first).
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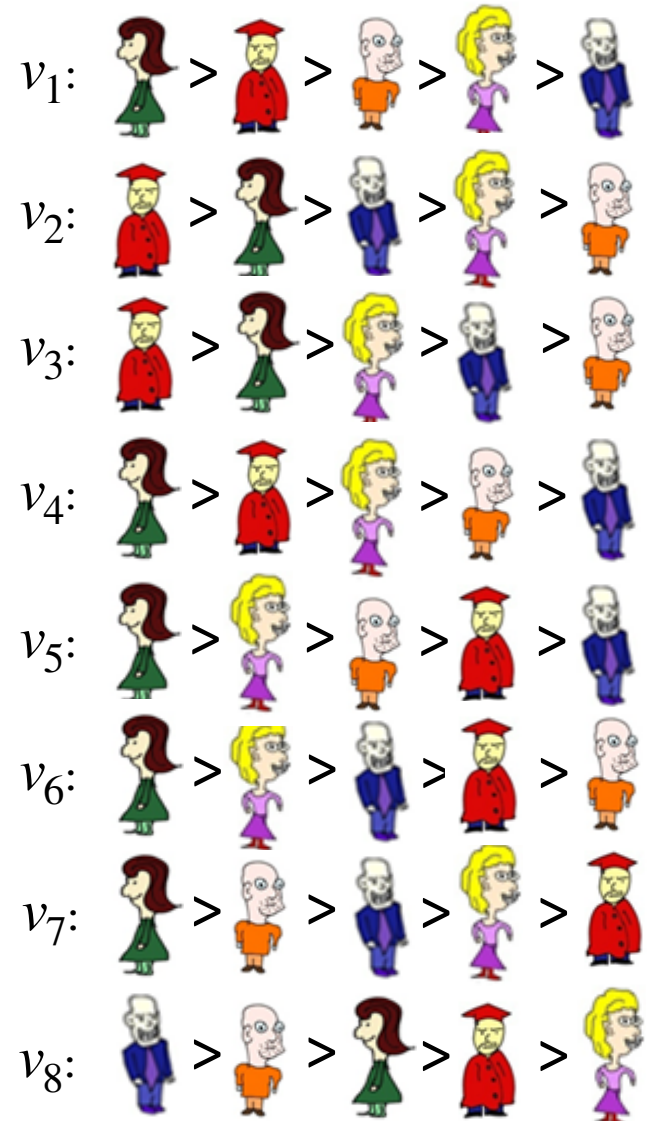


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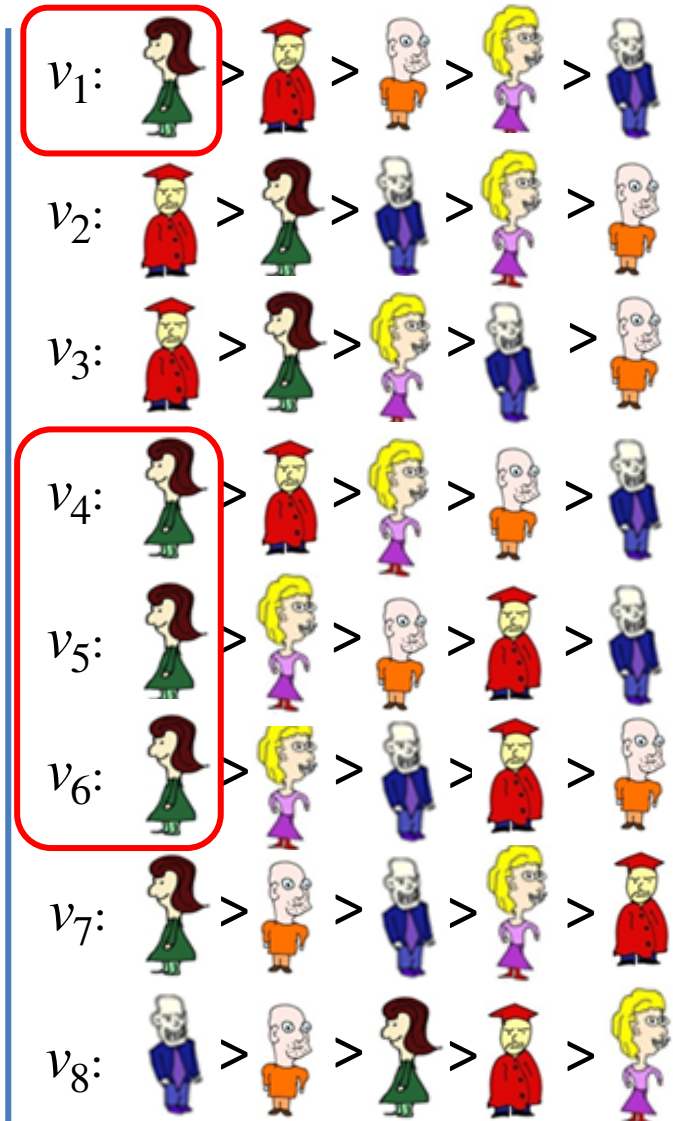


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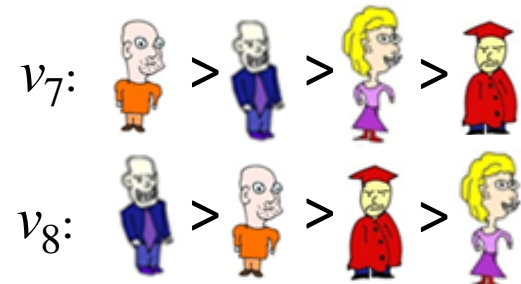
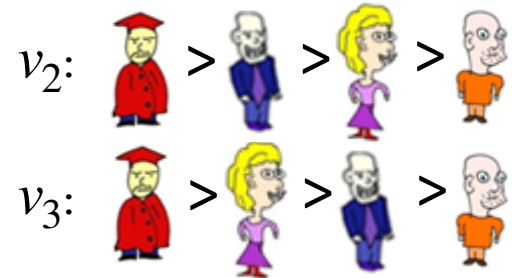


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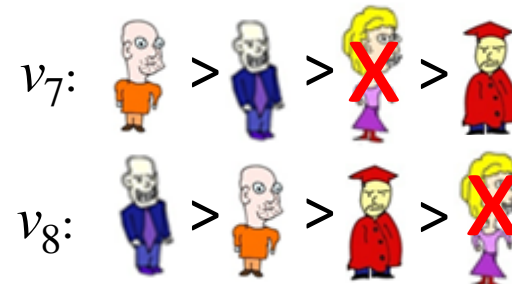
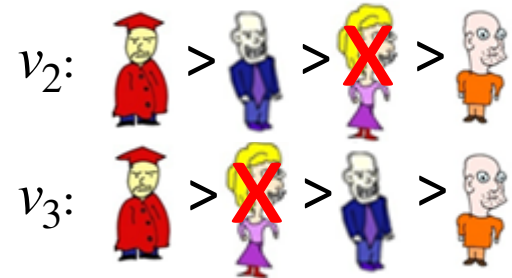


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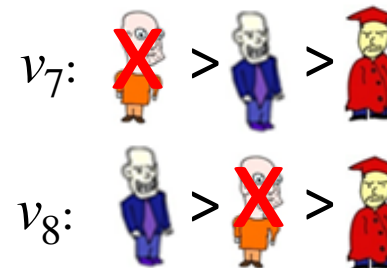
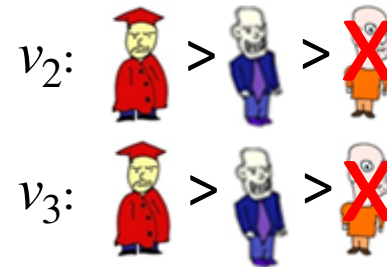


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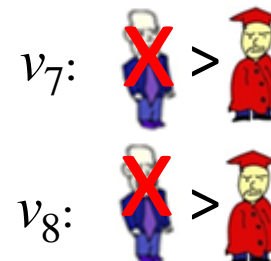
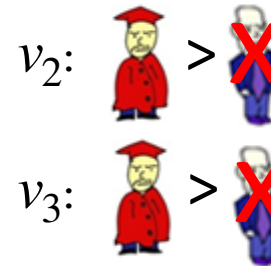


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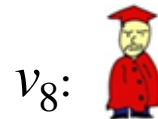
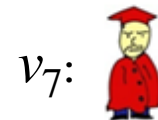
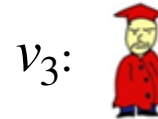
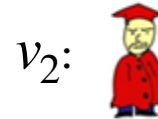


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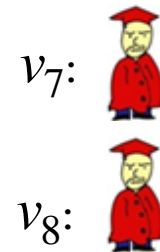
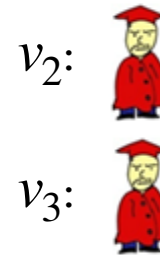


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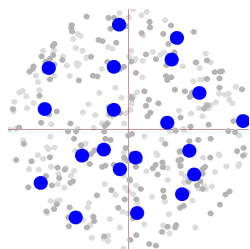
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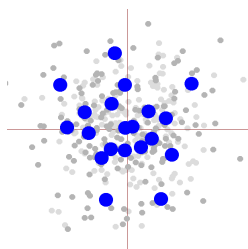
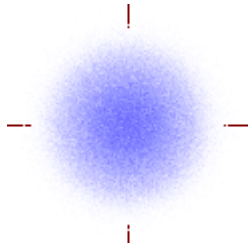
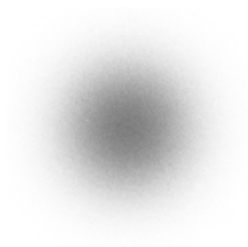


STV for 2-Euclidean Preferences

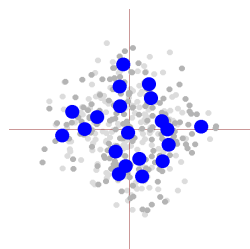
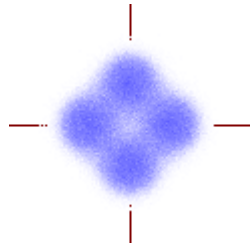
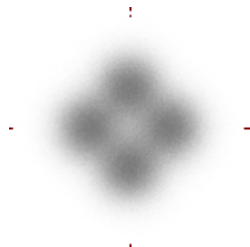
uniform on
a circle



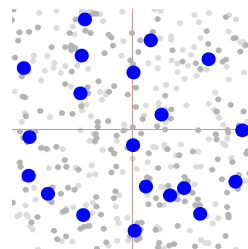
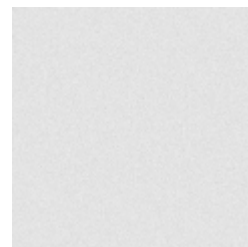
Gaussian



4 Gaussians



uniform on
a square



STV and Proportionality for Solid Coalitions

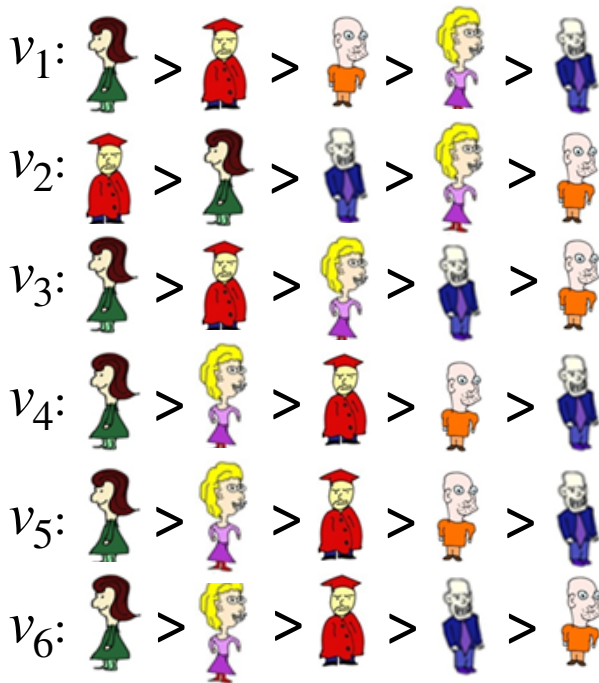
Proportionality for Solid Coalitions (PSC). An outcome W satisfies PSC if for each $\ell \in [k]$, each subset of voters $S \subseteq N$ with $|S| \geq n\ell/k$ and each subset of candidates T such that $T \succ_i C \setminus T$ for all $i \in S$, it holds that:

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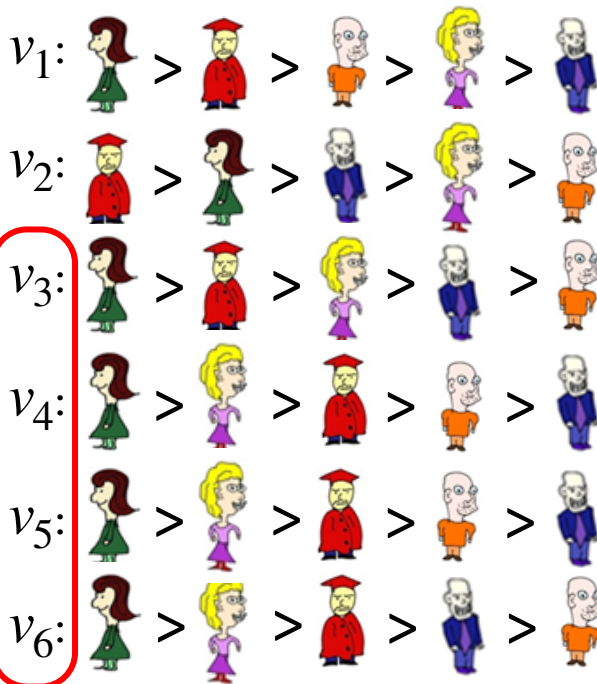


$$k = 3$$

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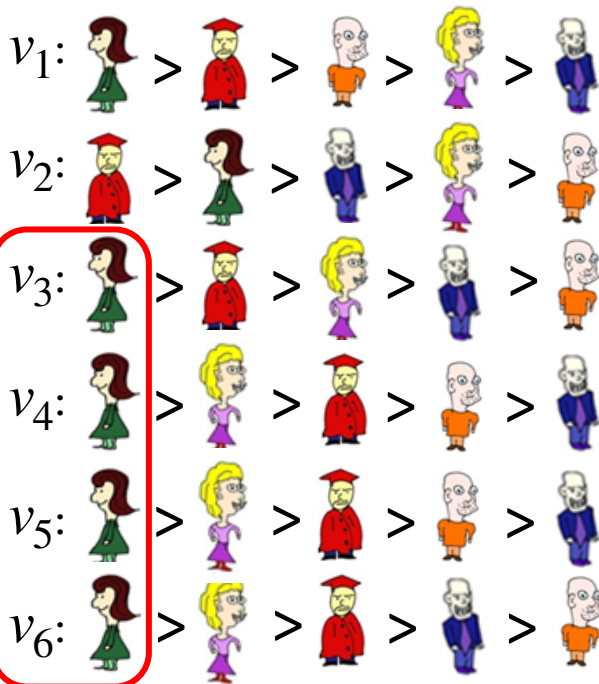


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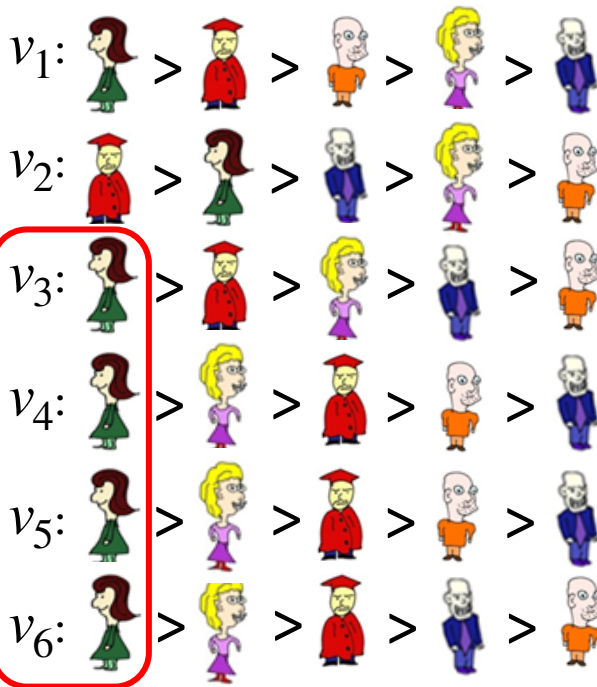


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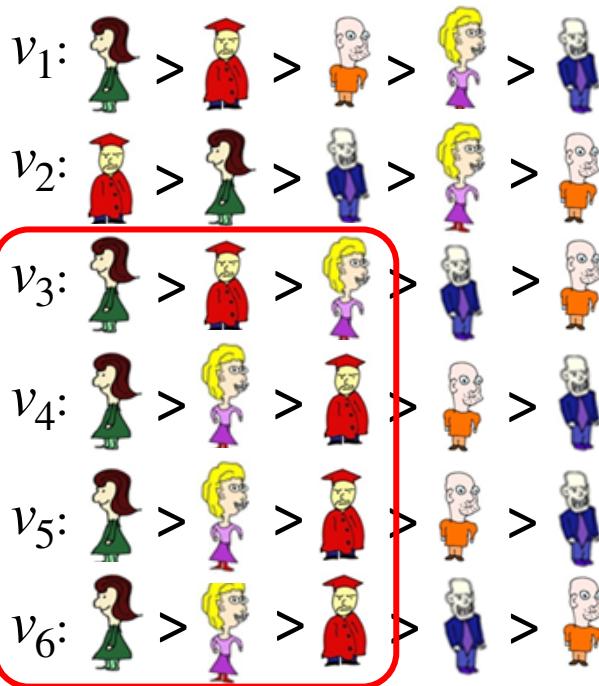
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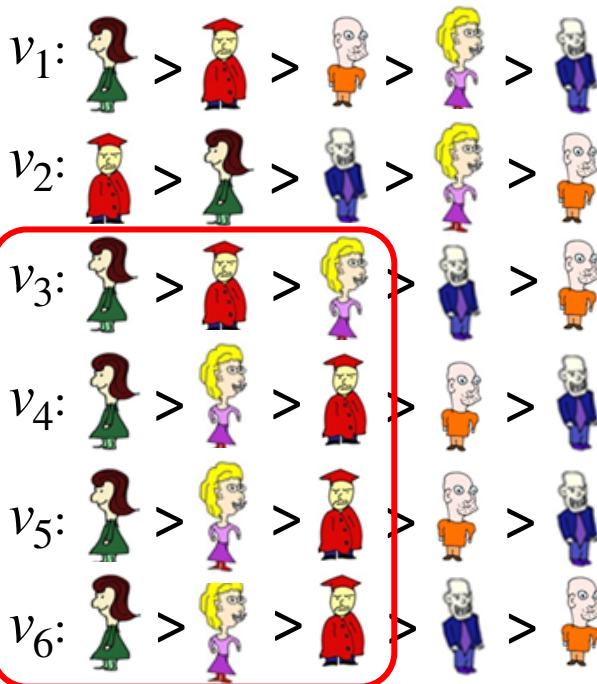
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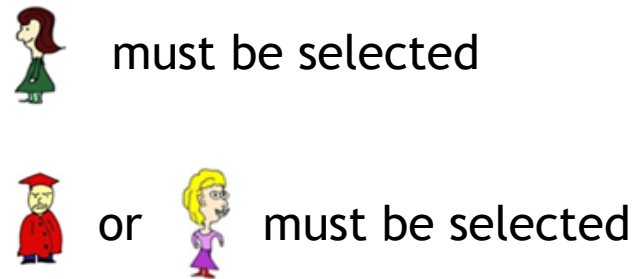
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If we remove candidate $c \in T$ then still T' contains at least ℓ candidates (and so, the thesis for T' will imply the thesis for T).

STV and monotonicity

Consider STV in this example:

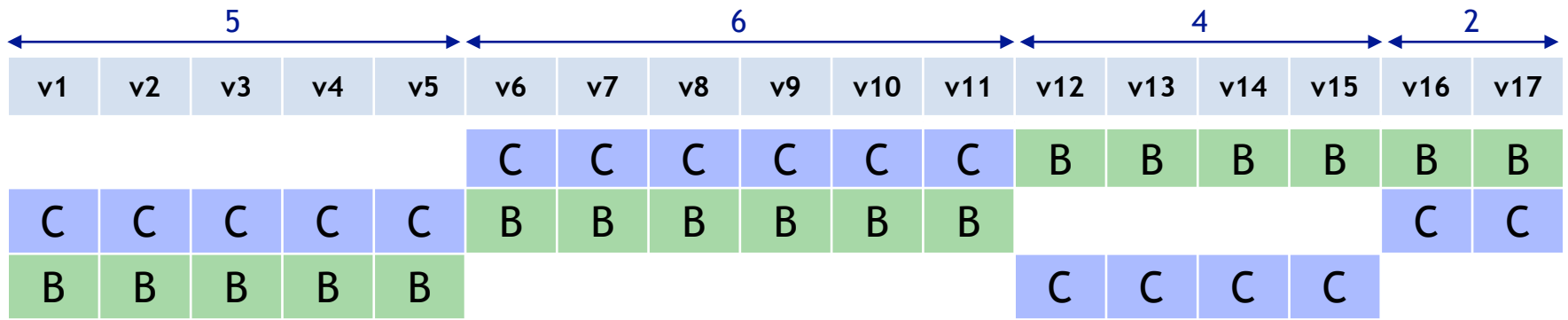
- Candidate A will be eliminated first.

5					6						4				2	
v1	v2	v3	v4	v5	v6	v7	v8	v9	v10	v11	v12	v13	v14	v15	v16	v17
A	A	A	A	A	C	C	C	C	C	C	B	B	B	B	B	B
C	C	C	C	C	B	B	B	B	B	B	A	A	A	A	C	C
B	B	B	B	B	A	A	A	A	A	A	C	C	C	C	A	A

STV and monotonicity

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STV and monotonicity

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The diagram shows 17 voters (v1-v17) grouped into four segments with sizes 5, 6, 4, and 2. Each voter has a preference for candidate C or B. The preferences are shown in a table below.

v1	v2	v3	v4	v5	v6	v7	v8	v9	v10	v11	v12	v13	v14	v15	v16	v17
C	C	C	C	C	C	C	C	C	C	C	B	B	B	B	B	B
B	B	B	B	B	B	B	B	B	B	B	C	C	C	C	C	C

STV and monotonicity

Consider STV in this example:

- Candidate A will be eliminated first.
- Candidate B will be eliminated next, and so **candidate C wins the election!**

5					6						4				2	
v1	v2	v3	v4	v5	v6	v7	v8	v9	v10	v11	v12	v13	v14	v15	v16	v17
C	C	C	C	C	C	C	C	C	C	C	B	B	B	B	B	B
B	B	B	B	B	B	B	B	B	B	B	C	C	C	C	C	C

STV and monotonicity

Consider STV in this example:

- Candidate A will be eliminated first.
- Candidate B will be eliminated next, and so **candidate C wins the election!**

What happens if the last two voters increase their support for C?

v1	v2	v3	v4	v5	v6	v7	v8	v9	v10	v11	v12	v13	v14	v15	v16	v17
C	C	C	C	C	C	C	C	C	C	C	B	B	B	B	B	B
B	B	B	B	B	B	B	B	B	B	B	C	C	C	C	C	C

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v1	v2	v3	v4	v5	v6	v7	v8	v9	v10	v11	v12	v13	v14	v15	v16	v17
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C	C	C	C	C	B	B	B	B	B	B	A	A	A	A	C	C
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A	A	A	A	A	C	C	C	C	C	C	B	B	B	B	B	B
C	C	C	C	C	B	B	B	B	B	B	A	A	A	A	C	C
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C	C	C	C	C	B	B	B	B	B	B	A	A	A	A	B	B
B	B	B	B	B	A	A	A	A	A	A	C	C	C	C	A	A

STV and monotonicity

Consider STV in this example:

- Candidate B will be eliminated first.

What happens if the last two voters increase their support for C?

5					6						4				2	
v1	v2	v3	v4	v5	v6	v7	v8	v9	v10	v11	v12	v13	v14	v15	v16	v17
A	A	A	A	A	C	C	C	C	C	C	B	B	B	B	C	C
C	C	C	C	C	B	B	B	B	B	B	A	A	A	A	B	B
B	B	B	B	B	A	A	A	A	A	A	C	C	C	C	A	A

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v1	v2	v3	v4	v5	v6	v7	v8	v9	v10	v11	v12	v13	v14	v15	v16	v17
A	A	A	A	A	C	C	C	C	C	C					C	C
C	C	C	C	C							A	A	A	A		
					A	A	A	A	A	A	C	C	C	C	A	A

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v1	v2	v3	v4	v5	v6	v7	v8	v9	v10	v11	v12	v13	v14	v15	v16	v17
A	A	A	A	A	C	C	C	C	C	C	A	A	A	A	C	C
C	C	C	C	C	A	A	A	A	A	A	C	C	C	C	A	A

STV and monotonicity

Consider STV in this example:

- Candidate B will be eliminated first.
- Candidate C will be eliminated next, and so **candidate A wins the election!**

What happens if the last two voters increase their support for C?

5					6						4				2	
v1	v2	v3	v4	v5	v6	v7	v8	v9	v10	v11	v12	v13	v14	v15	v16	v17
A	A	A	A	A	C	C	C	C	C	C	A	A	A	A	C	C
C	C	C	C	C	A	A	A	A	A	A	C	C	C	C	A	A

STV and monotonicity

Monotonicity: if a voter pushes a winning candidate up in her ranking, then this candidate should still be winning.

v1	v2	v3	v4	v5	v6	v7	v8	v9	v10	v11	v12	v13	v14	v15	v16	v17
A	A	A	A	A	C	C	C	C	C	C	A	A	A	A	C	C
C	C	C	C	C	A	A	A	A	A	A	C	C	C	C	A	A

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Monotonicity: if a voter pushes a winning candidate up in her ranking, then this candidate should still be winning.

STV is non-monotonic!

v1	v2	v3	v4	v5	v6	v7	v8	v9	v10	v11	v12	v13	v14	v15	v16	v17
A	A	A	A	A	C	C	C	C	C	C	A	A	A	A	C	C
C	C	C	C	C	A	A	A	A	A	A	C	C	C	C	A	A

STV and monotonicity

Open question: Is there a rule that satisfies proportionality for solid coalitions and monotonicity?

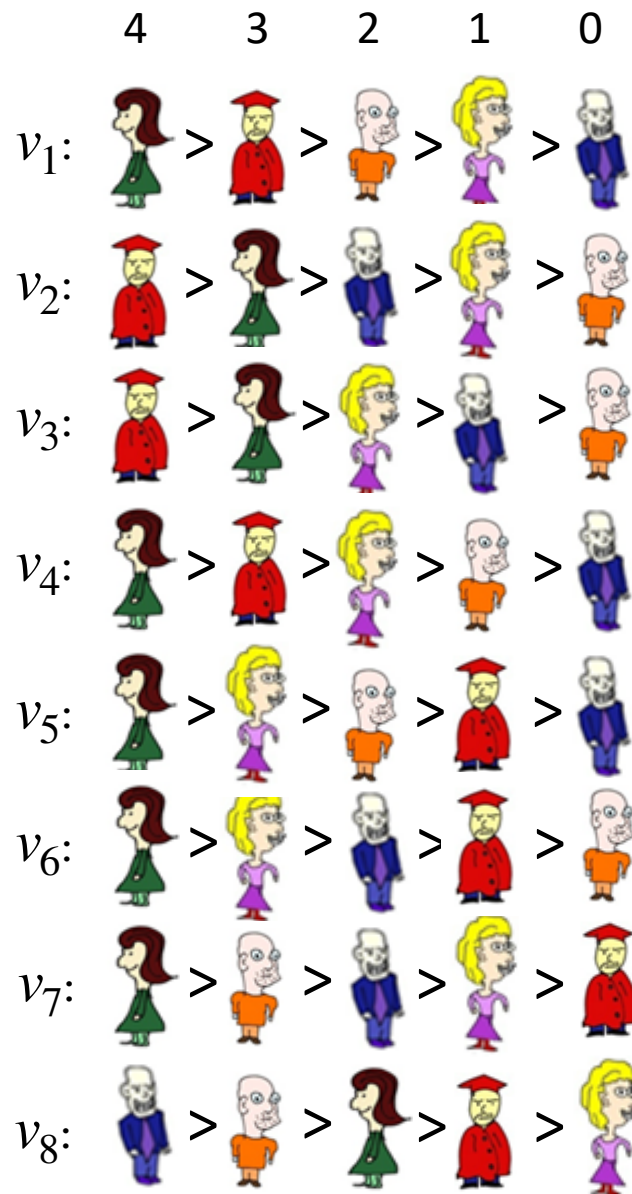
The Monroe rule

Define the score for a committee:



Find the **best** assignment of voters to committee members so that:

Each committee member is assigned to roughly n/k voters.



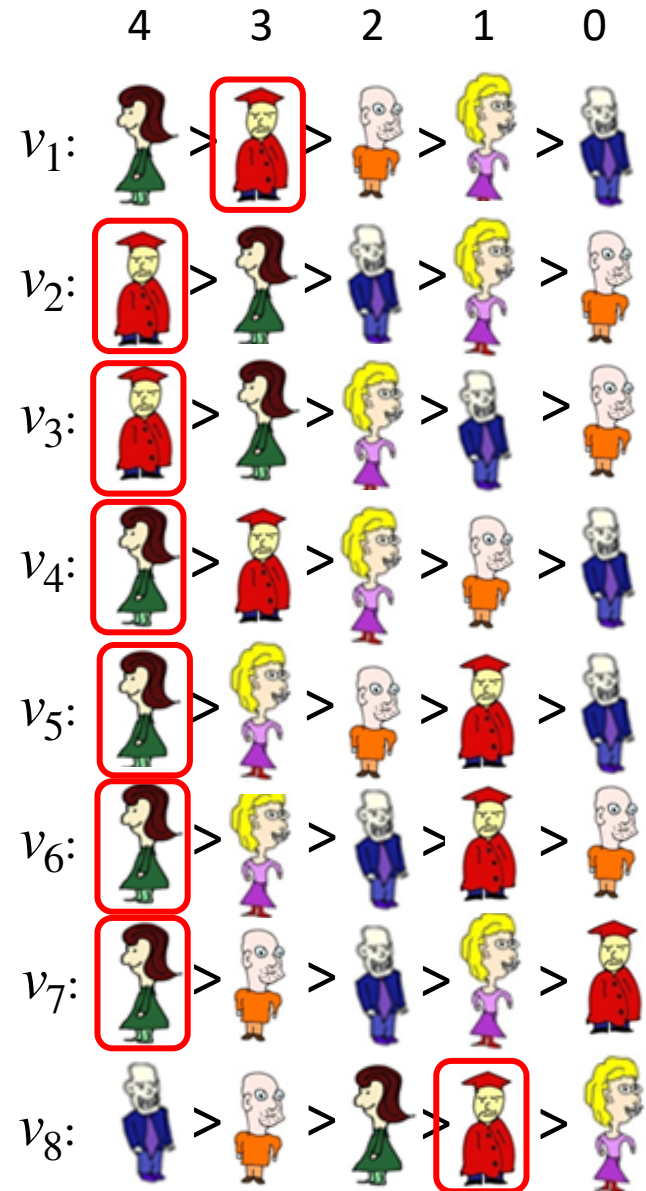
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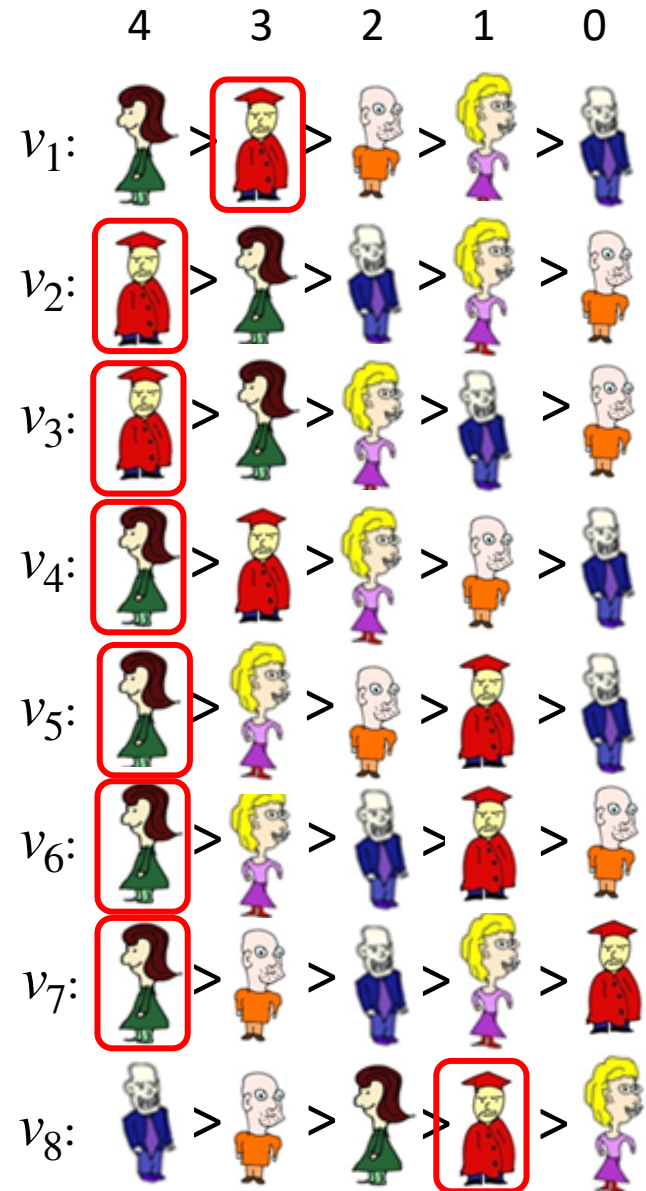


Find the **best** assignment of voters to committee members so that:

Each committee member is assigned to roughly n/k voters.

This assignment has score:

$$3 + 6 \cdot 4 + 1 = 28$$



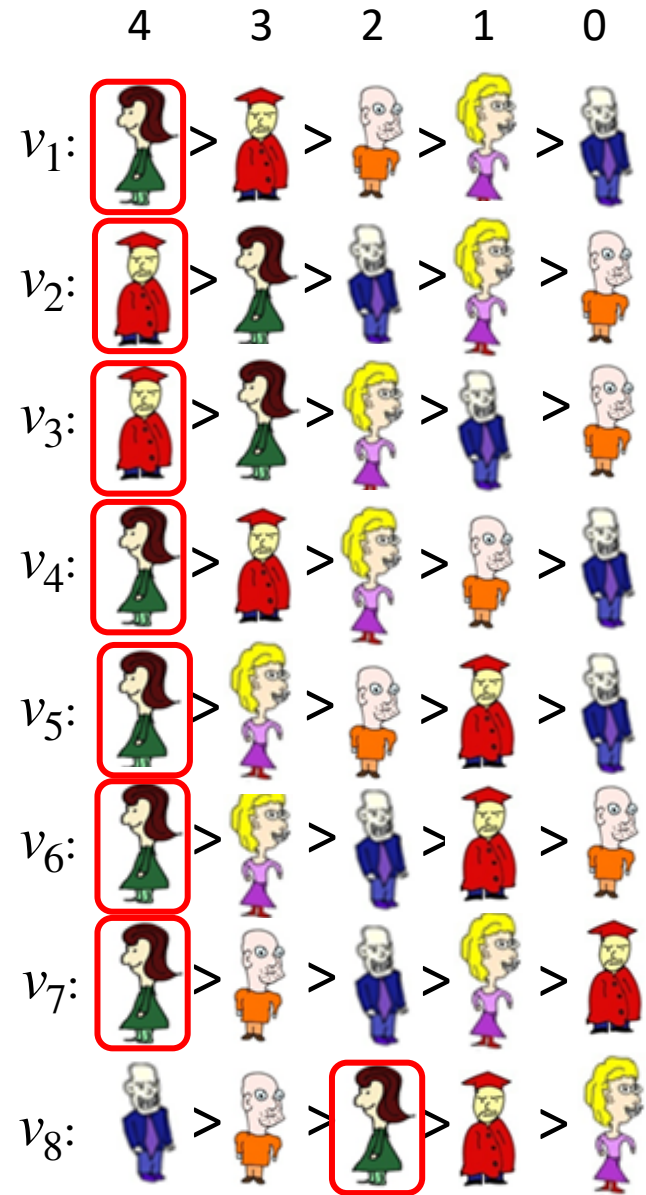
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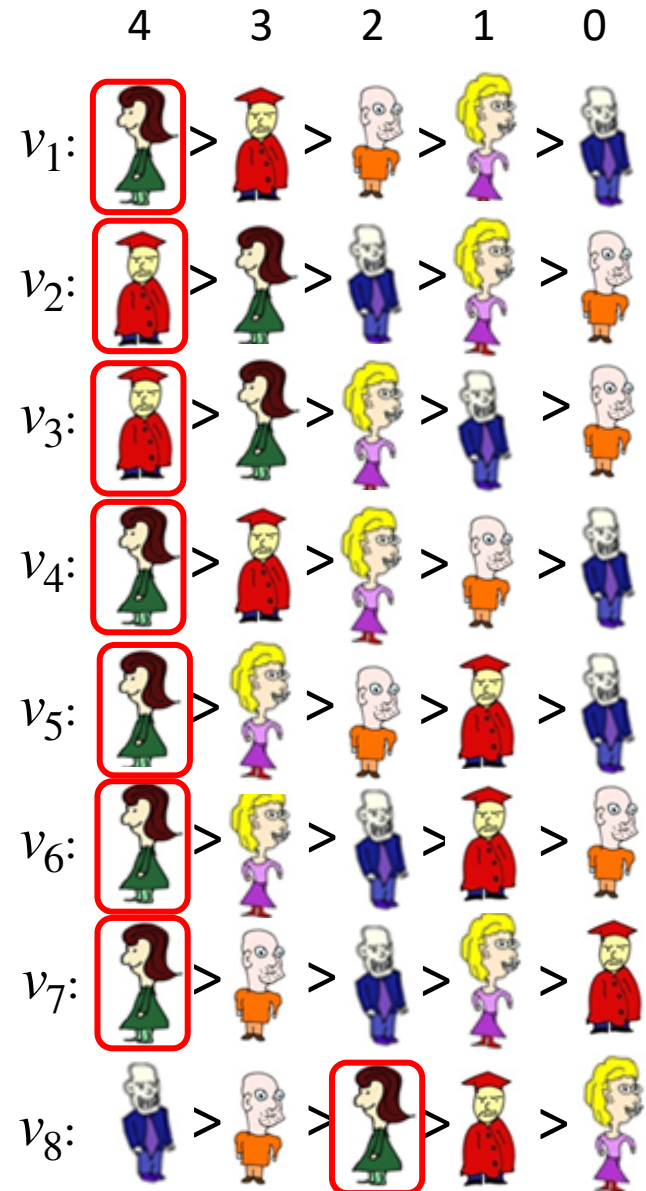
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This would be a better assignment with score of 30.



The Monroe rule

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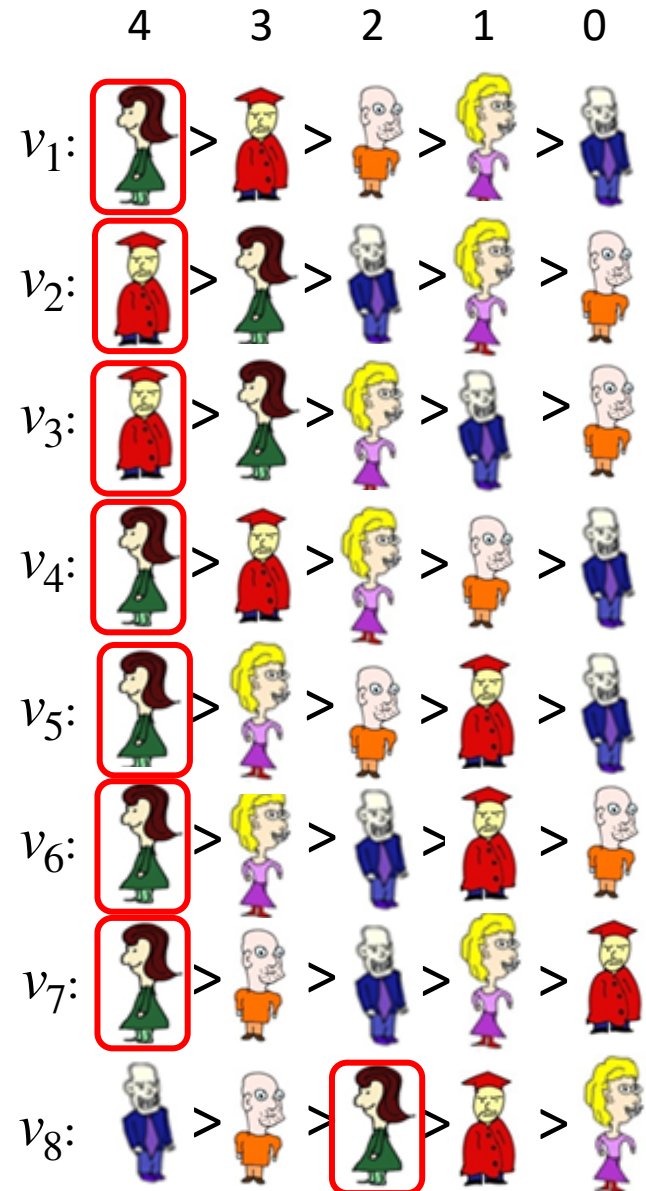


Find the **best** assignment of voters to committee members so that:

Each committee member is assigned to roughly n/k voters.

This would be a better assignment with score of 30.

But this assignment is unbalanced and so it is not valid!



The Monroe rule

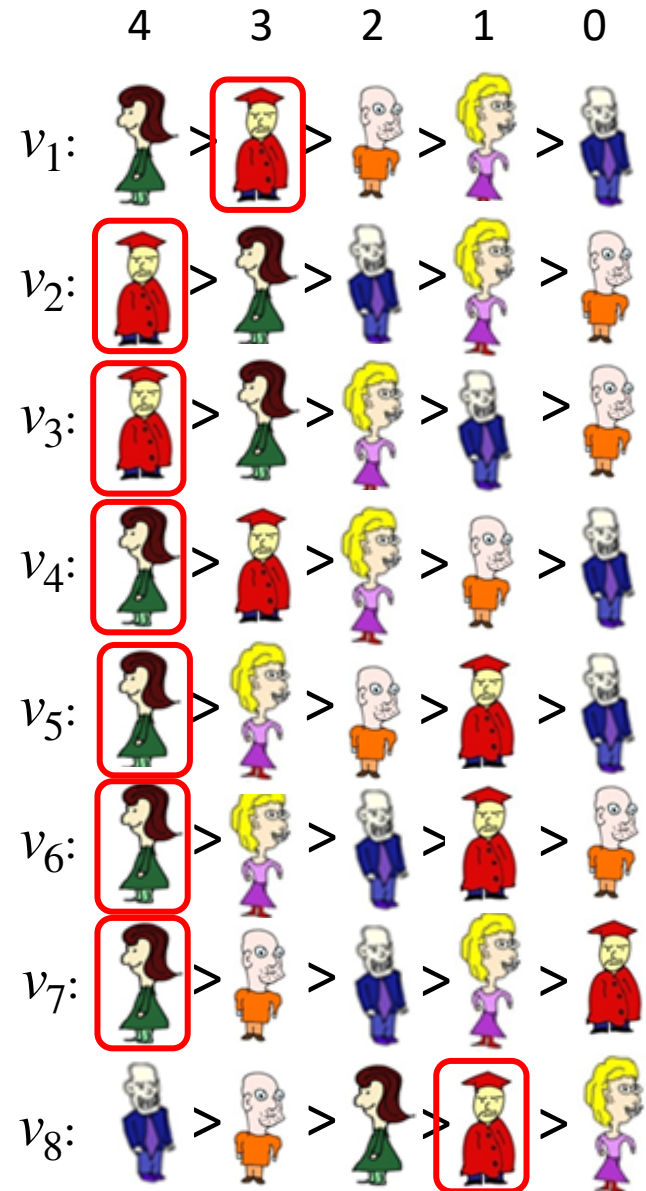
Define the score for a committee:



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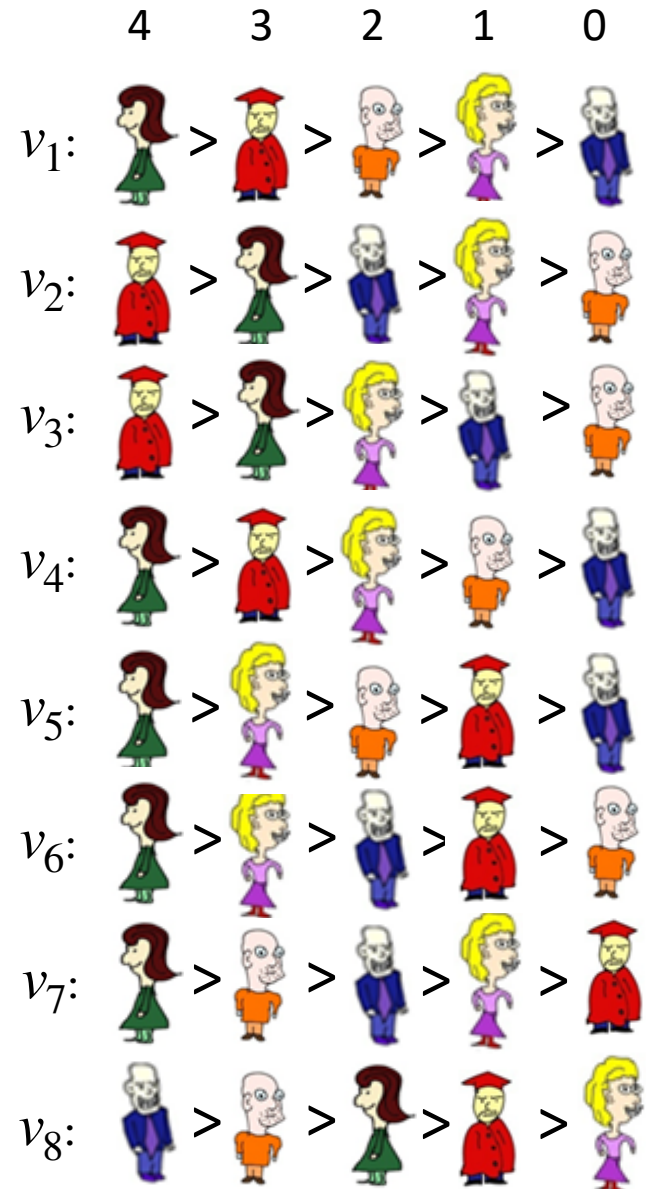
A committee with the best optimal valid assignment is winning.



The Greedy Monroe rule

Repeat k times:

1. Find a group G of n/k voters and a candidate c such that the score of voters from G from c is maximal.
2. Remove candidate c and the voters from G from the election.

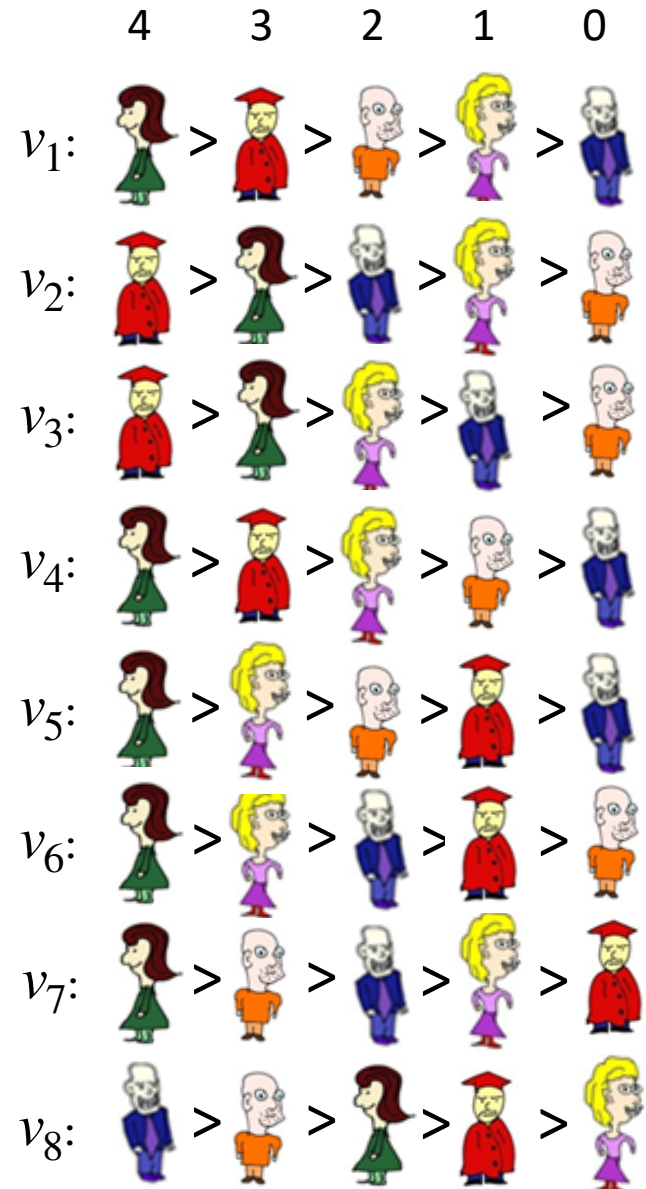


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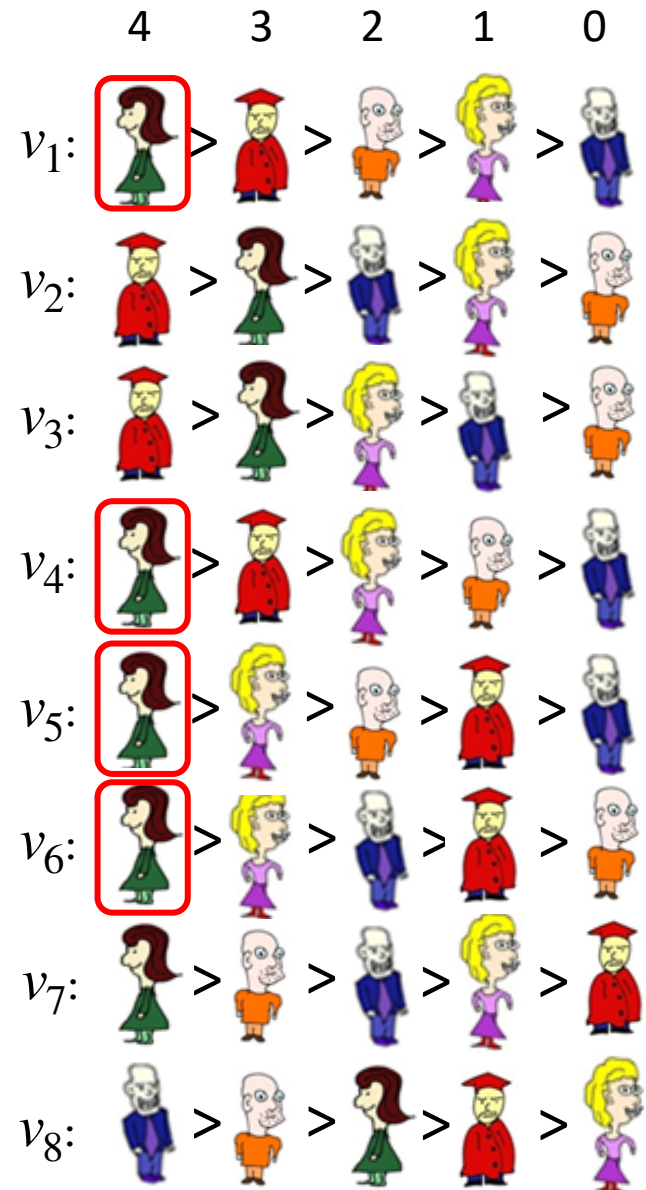


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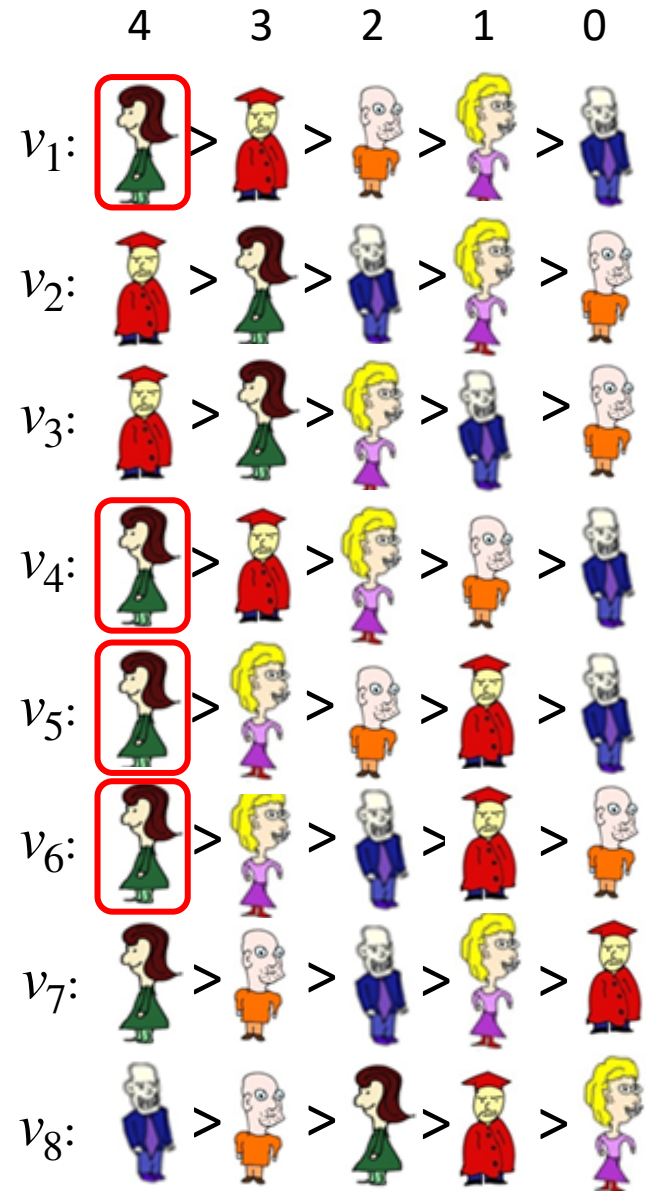


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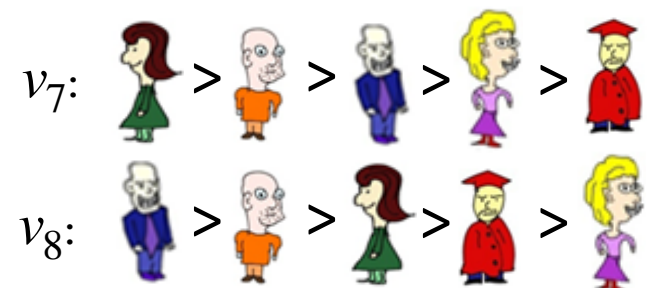
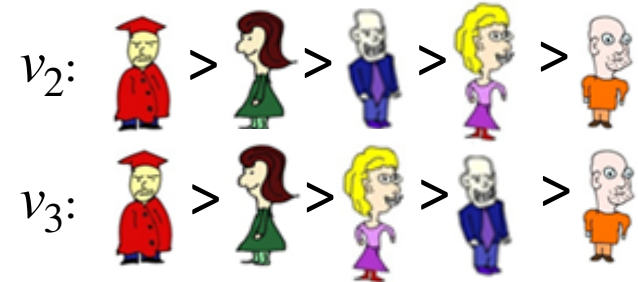
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For $k = 2$:



4 3 2 1 0

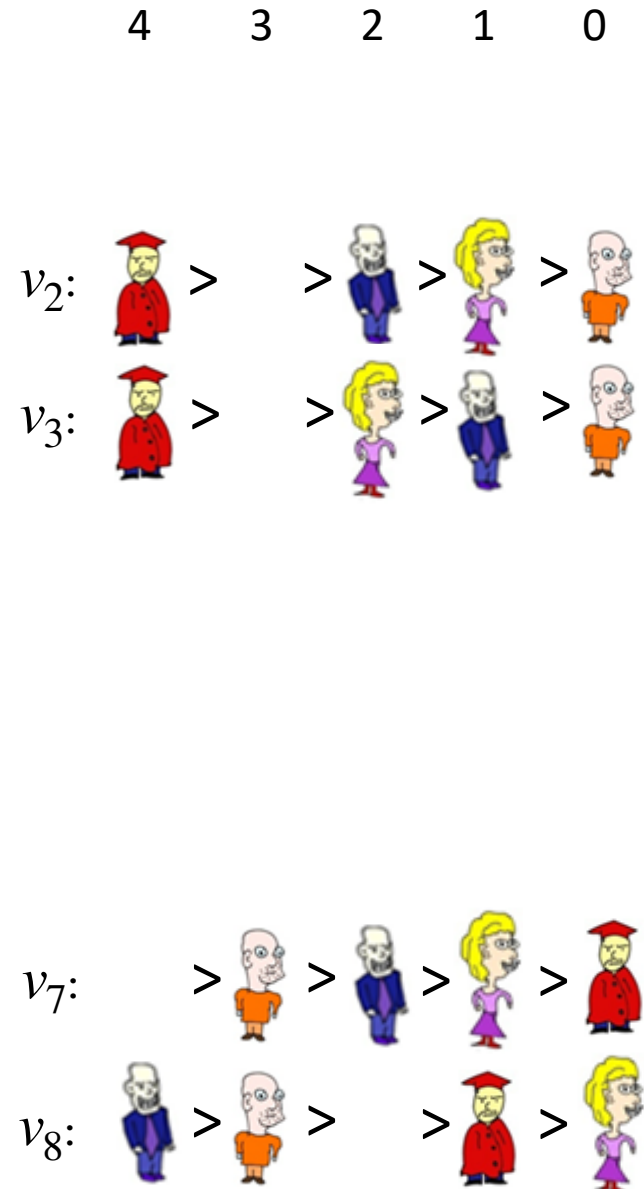


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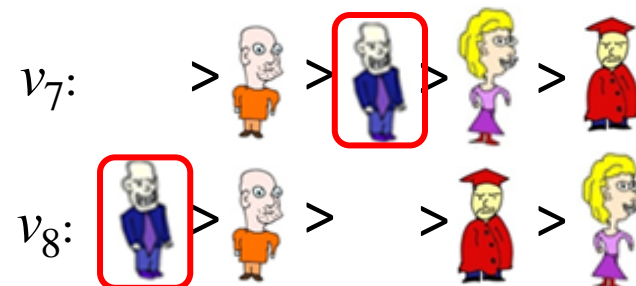
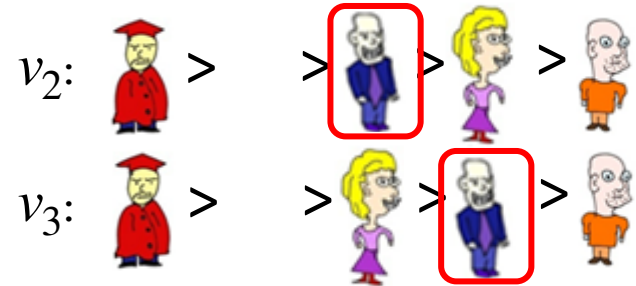
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4 3 2 1 0



The Greedy Monroe rule

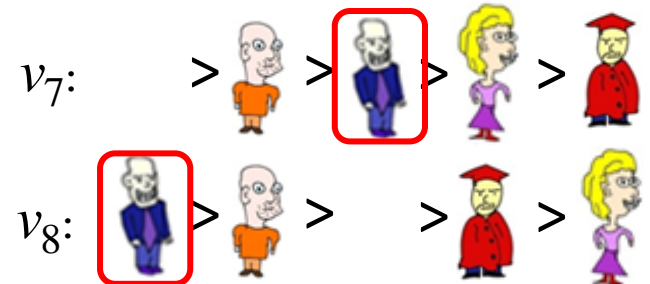
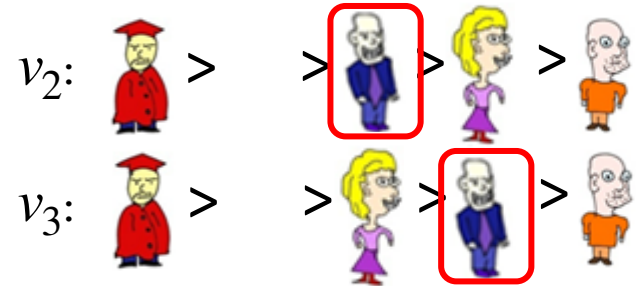
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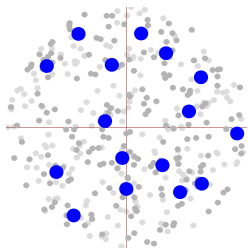
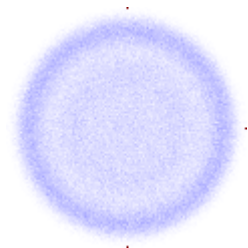


4 3 2 1 0

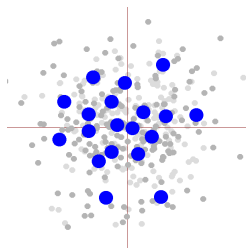
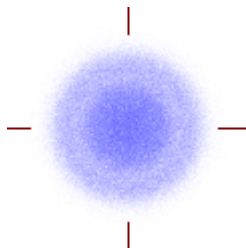


Monroe for 2-Euclidean Preferences

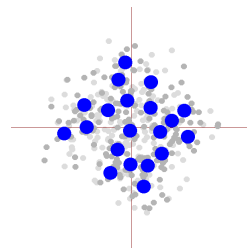
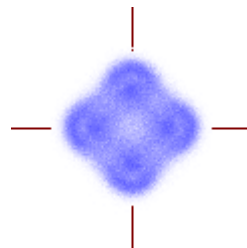
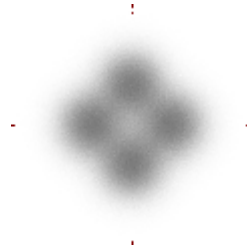
uniform on
a circle



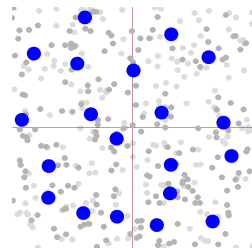
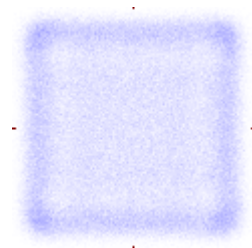
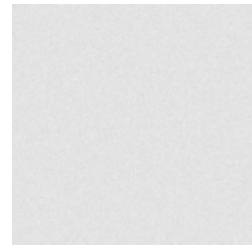
Gaussian



4 Gaussians

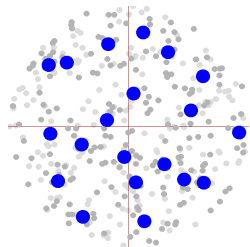
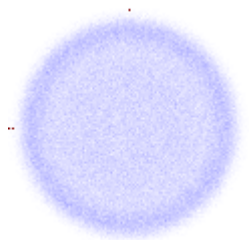


uniform on
a square

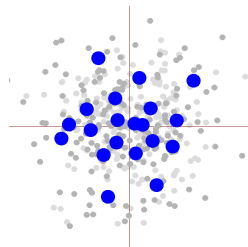
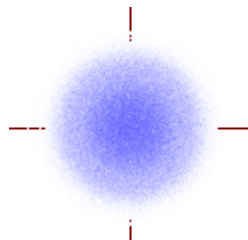


Greedy Monroe for 2-Euclidean Preferences

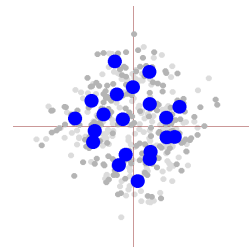
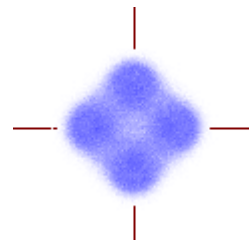
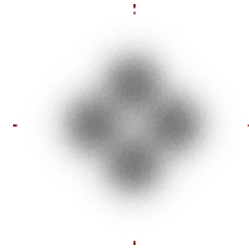
uniform on
a circle



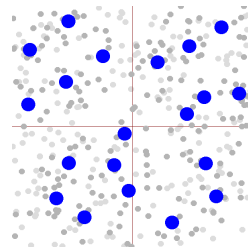
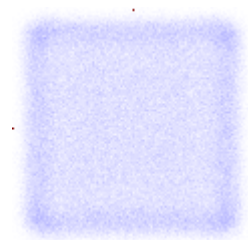
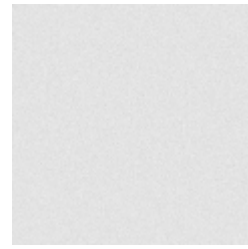
Gaussian



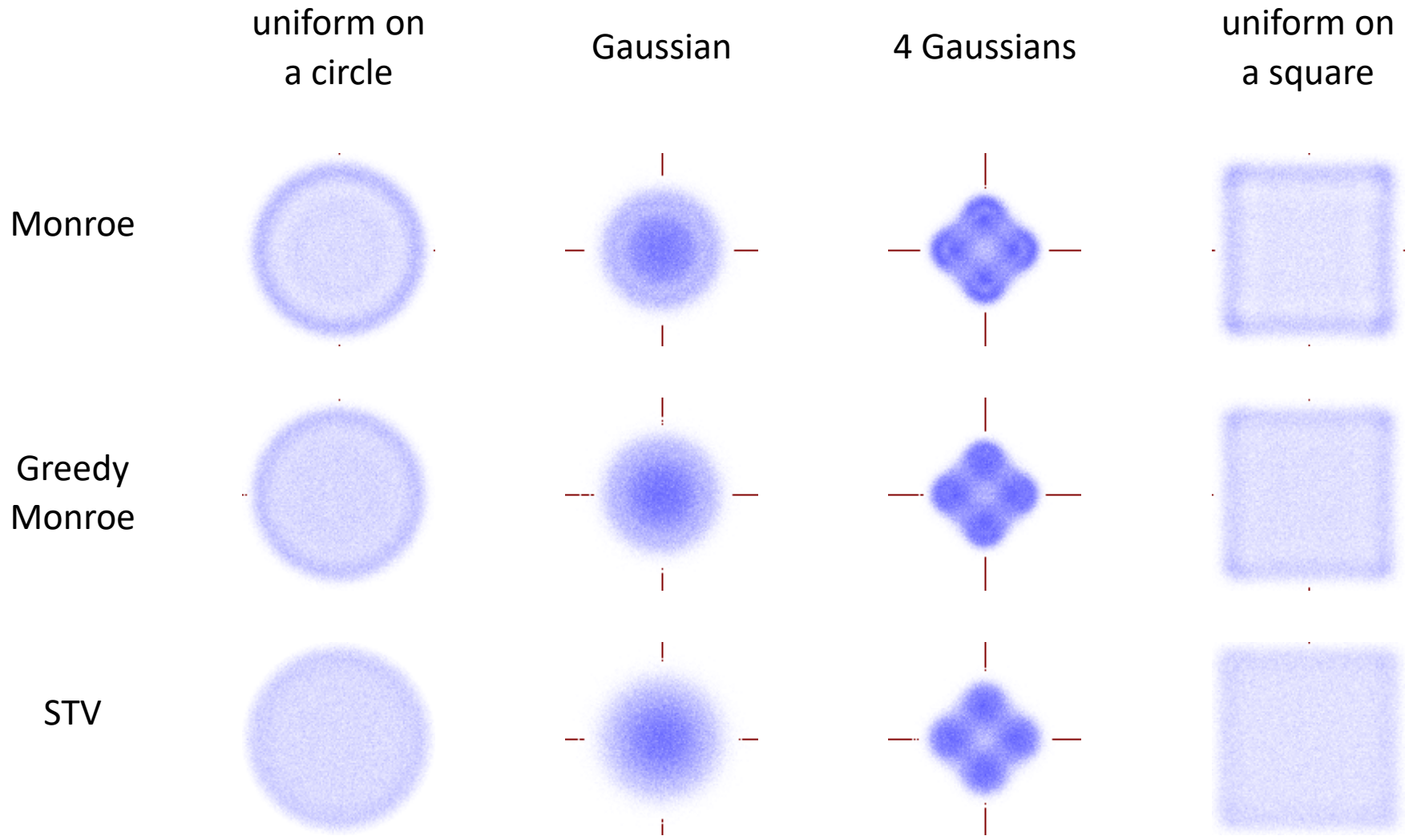
4 Gaussians



uniform on
a square



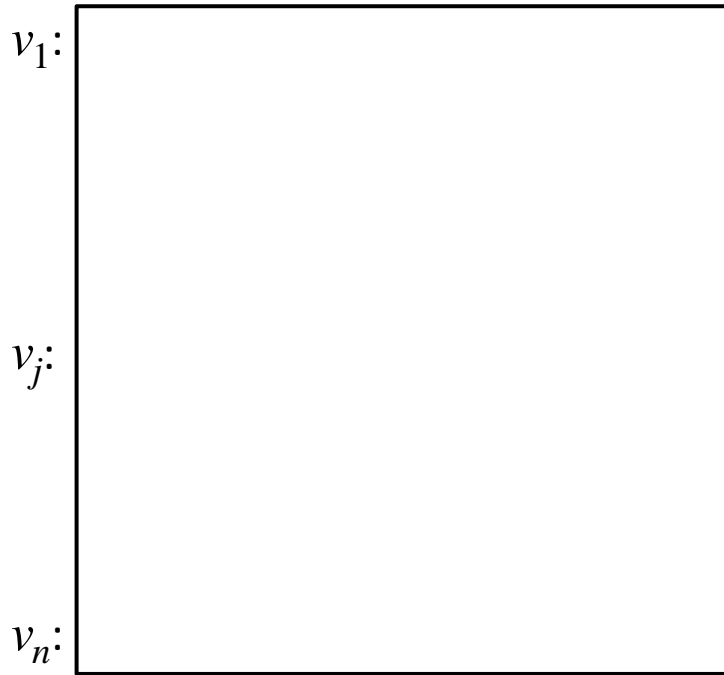
2-Euclidean Preferences: comparison



How Good is the Greedy Monroe Rule?

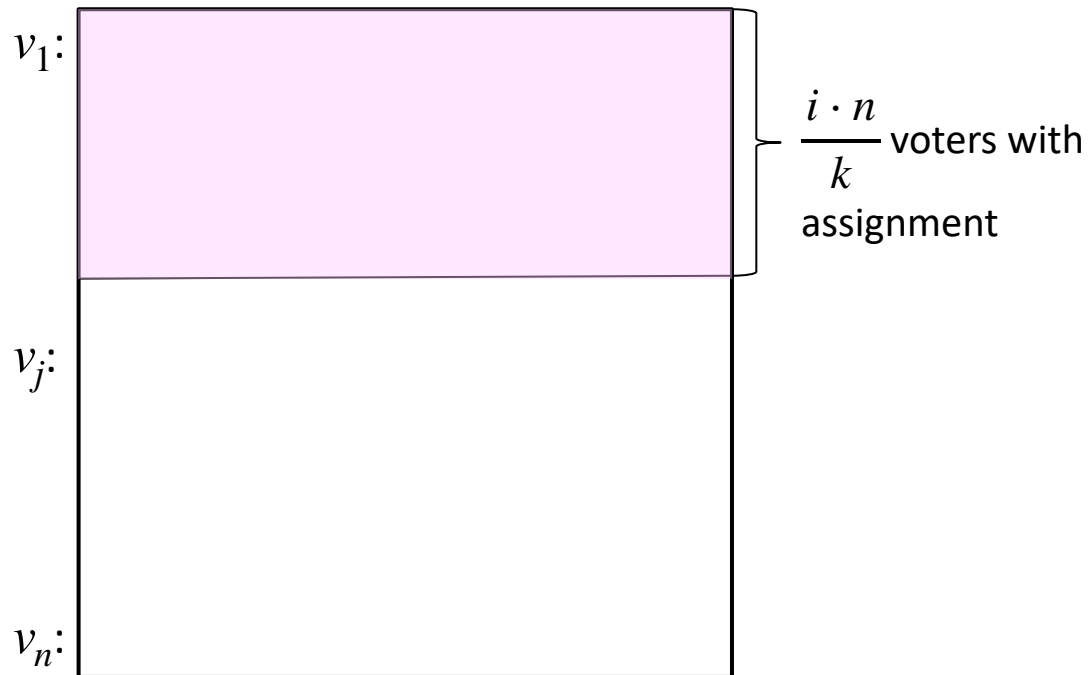
How Good is the Greedy Monroe Rule?

Consider the situation right after the i -th iteration



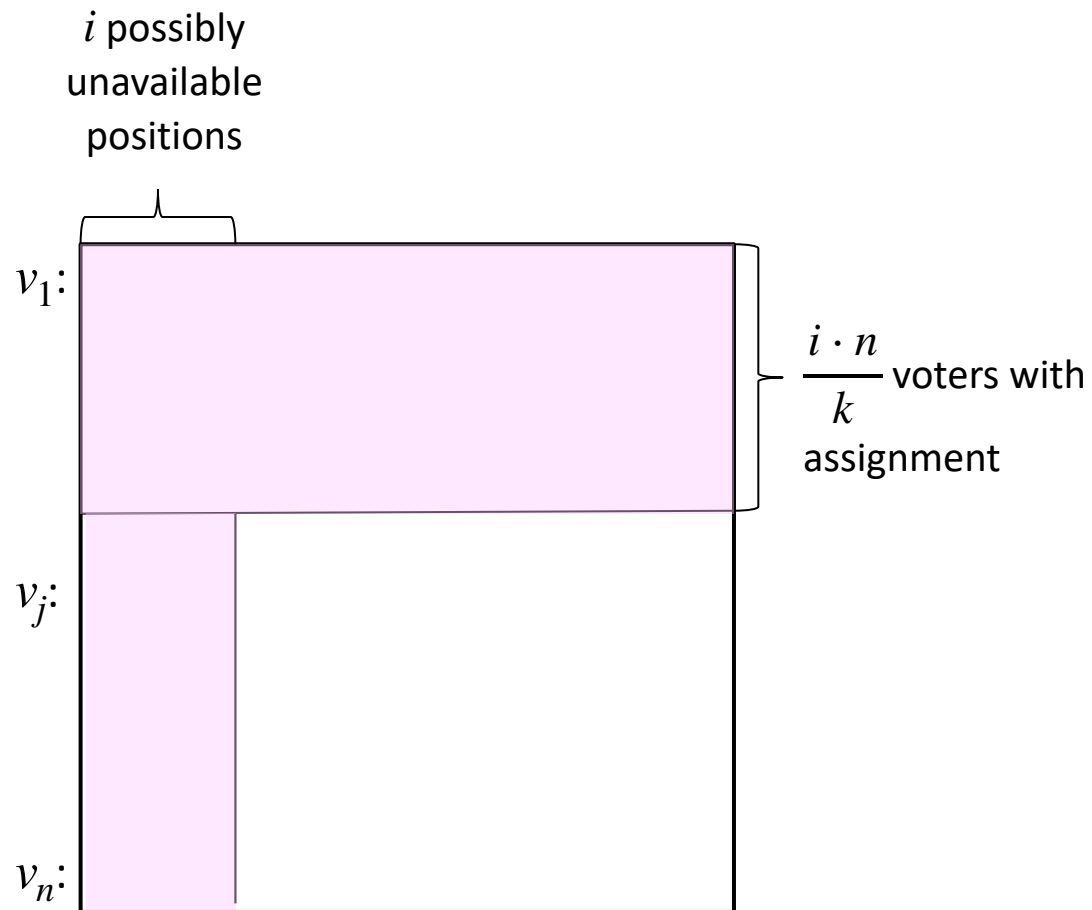
How Good is the Greedy Monroe Rule?

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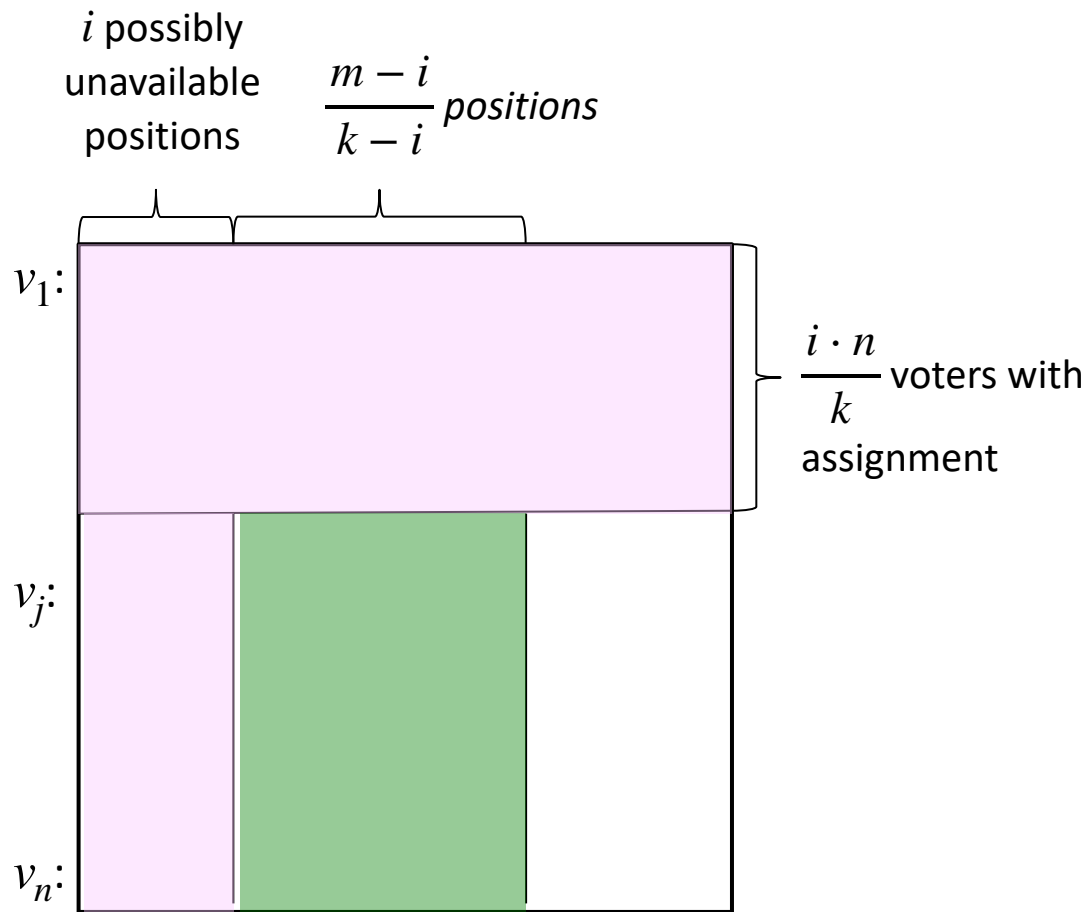
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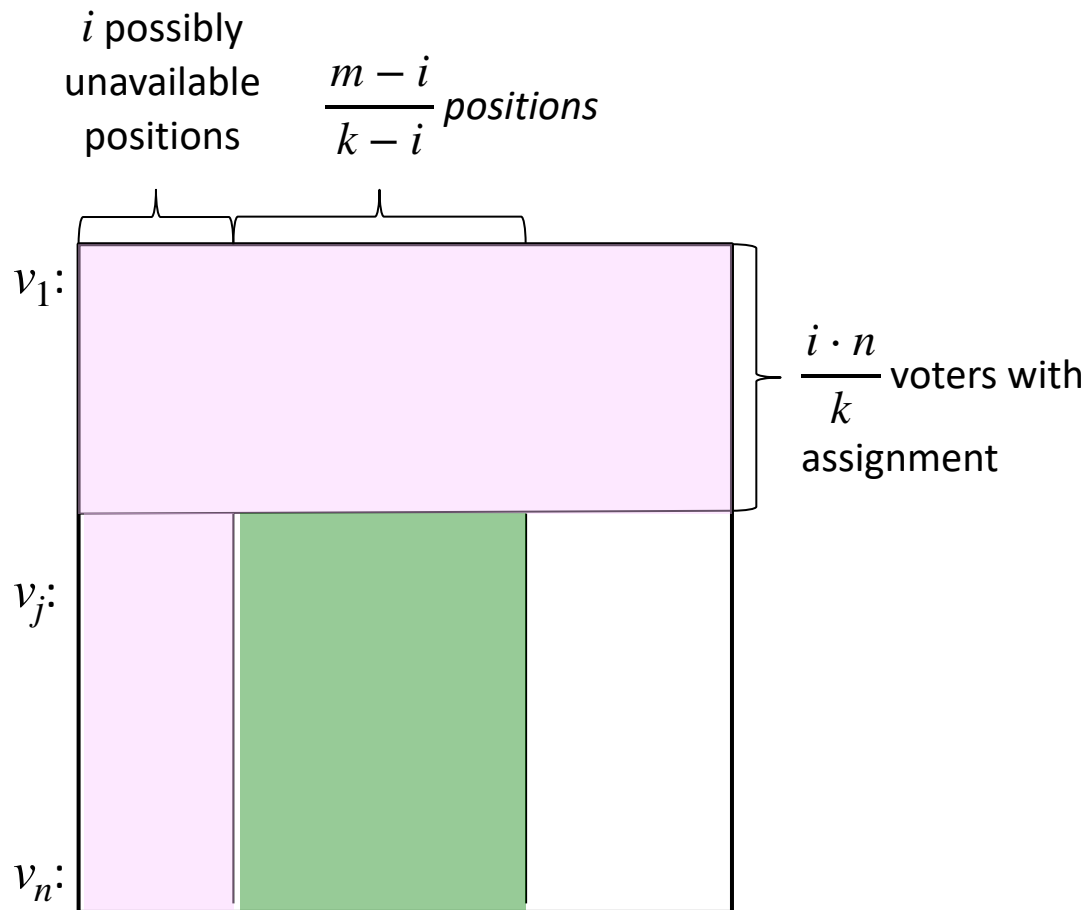
How Good is the Greedy Monroe Rule?

Consider the situation right after the i -th iteration



How Good is the Greedy Monroe Rule?

Consider the situation right after the i -th iteration

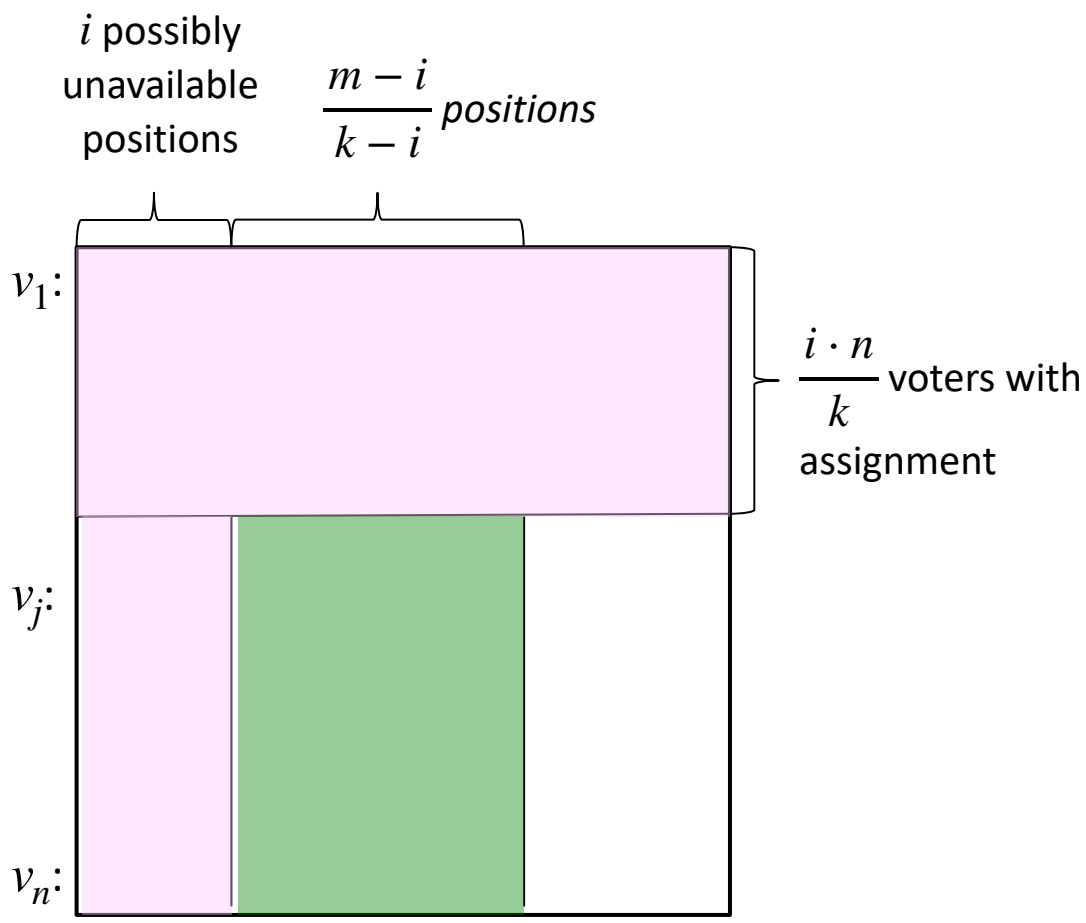


By pigeonhole principle, there is an unassigned candidate that n/k voters rank within the green area

$$\frac{1}{m-i} \left(\binom{n - \frac{i \cdot n}{k}}{\left\lceil \frac{m-i}{k-i} \right\rceil} \right) \geq \frac{n}{m-i} \cdot \frac{k-i}{k} \cdot \frac{m-i}{k-i} = \frac{n}{k}$$

How Good is the Greedy Monroe Rule?

$$\text{score}(W) = \sum_{i=1}^k \frac{n}{k} \cdot \left(m - i - \left\lceil \frac{m - i}{k - i} \right\rceil \right)$$

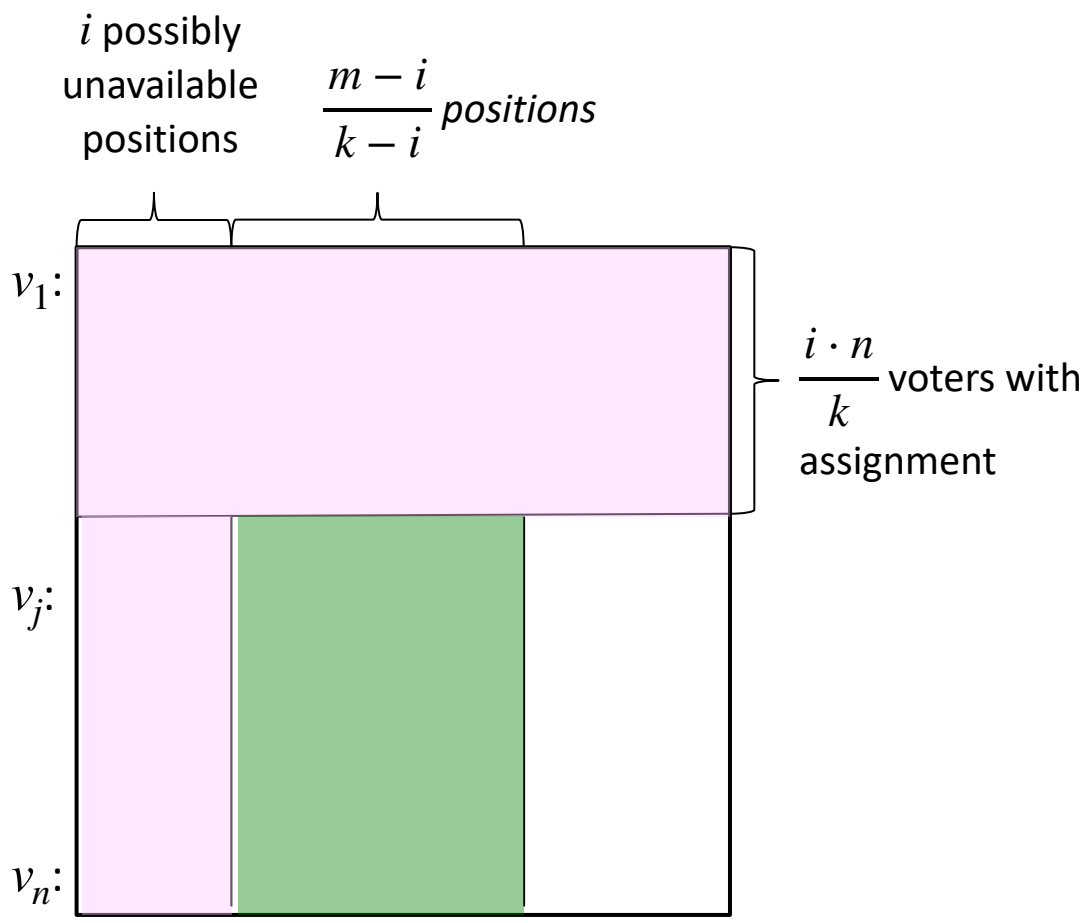


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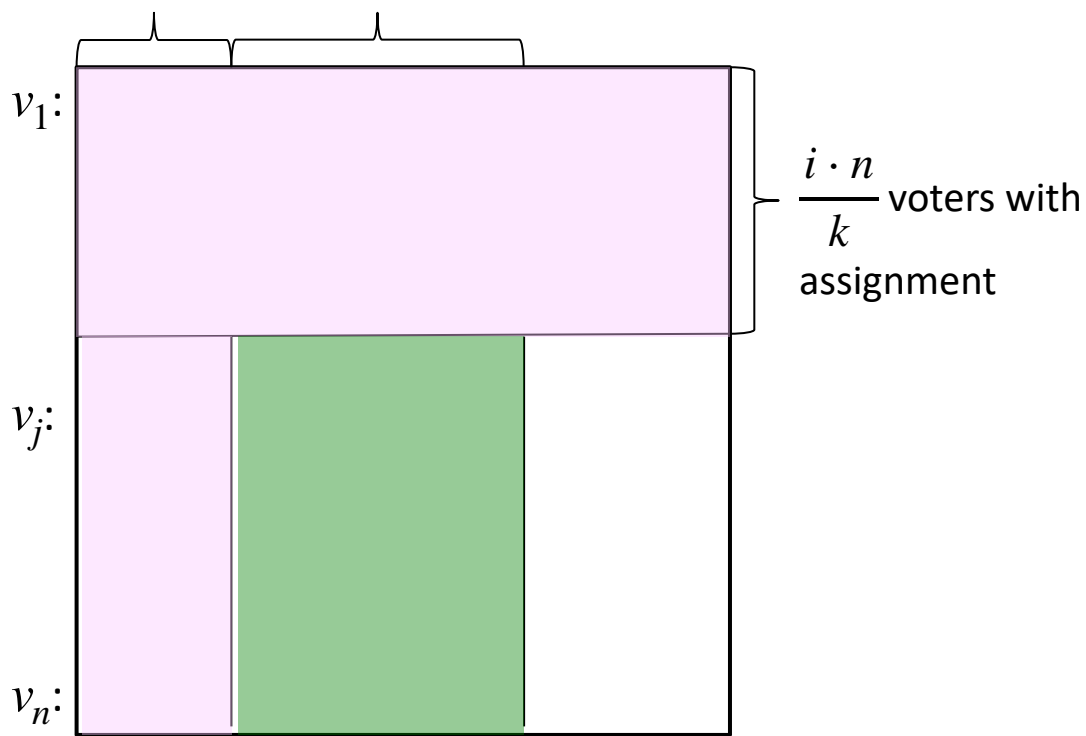
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How Good is the Greedy Monroe Rule?

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$$\geq \sum_{i=1}^k \frac{n}{k} \cdot \left(m - i - \frac{m-1}{k-i+1} + \frac{i-2}{k-i+1} \right)$$



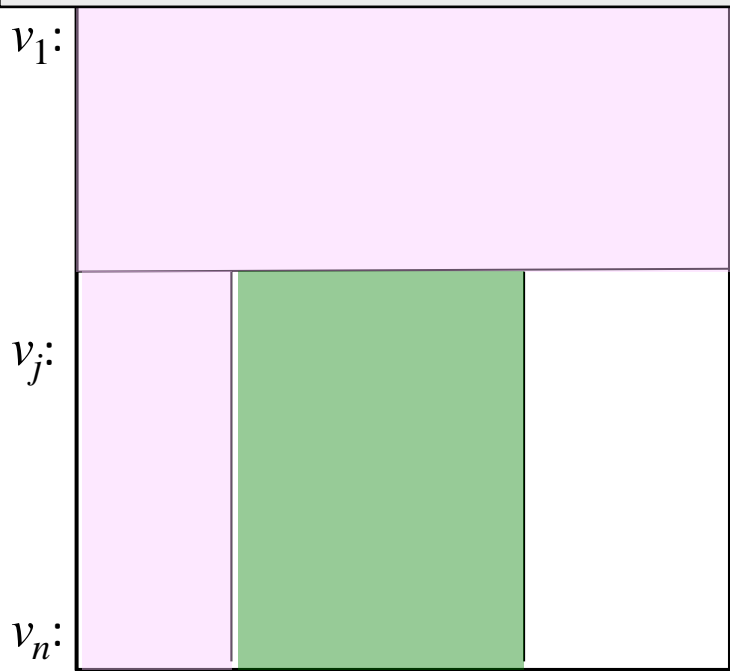
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$$\frac{1}{m-i} \left(\left(n - \frac{i \cdot n}{k} \right) \left\lfloor \frac{m-i}{k-i} \right\rfloor \right)$$

$$\geq \frac{n}{m-i} \cdot \frac{k-i}{k} \cdot \frac{m-i}{k-i} = \frac{n}{k}$$

How Good is the Greedy Monroe Rule?

$$\begin{aligned}
 \text{score}(W) &= \sum_{i=1}^k \frac{n}{k} \cdot \left(m - i - \left\lceil \frac{m-i}{k-i} \right\rceil \right) \geq \sum_{i=1}^k \frac{n}{k} \cdot \left(m - i - \frac{m-i}{k-i} - 1 \right) \\
 &\geq \sum_{i=1}^k \frac{n}{k} \cdot \left(m - i - \frac{m-1}{k-i+1} + \frac{i-2}{k-i+1} \right) \\
 &= \frac{n}{k} \left(\frac{k(2m-k-1)}{2} - (m-1)H(k) + kH(k) - H(k) \right)
 \end{aligned}$$



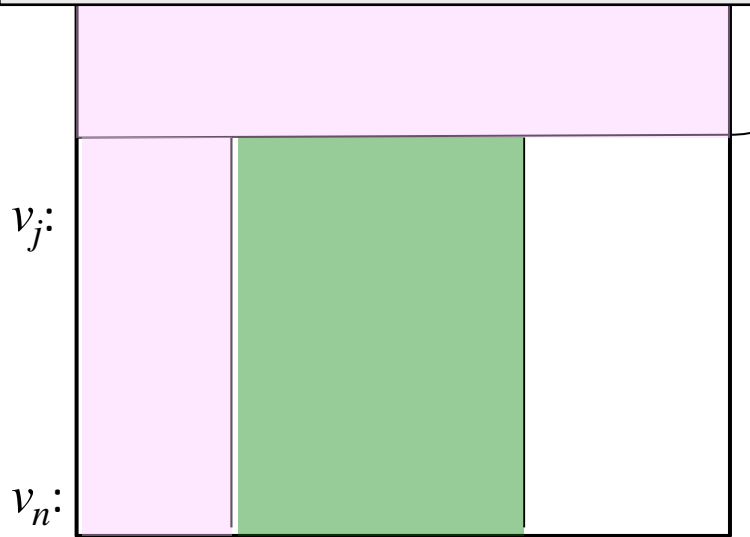
$\frac{i \cdot n}{k}$ voters with assignment

there is an unassigned candidate that n/k voters rank within the green area

$$\begin{aligned}
 &\frac{1}{m-i} \left(\left(n - \frac{i \cdot n}{k} \right) \left\lceil \frac{m-i}{k-i} \right\rceil \right) \\
 &\geq \frac{n}{m-i} \cdot \frac{k-i}{k} \cdot \frac{m-i}{k-i} = \frac{n}{k}
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How Good is the Greedy Monroe Rule?

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 &= (m-1)n \left(1 - \frac{k-1}{2(m-1)} - \frac{H(k)}{k} + \frac{H(k)-1}{m-1} - \frac{H(k)}{k(m-1)} \right)
 \end{aligned}$$

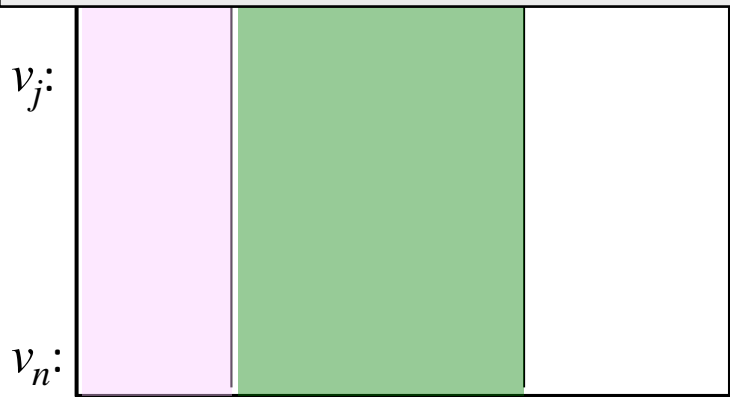


k voters with rank within the green area assignment

$$\begin{aligned}
 &\frac{1}{m-i} \left(\left(n - \frac{i \cdot n}{k} \right) \left\lfloor \frac{m-i}{k-i} \right\rfloor \right) \\
 &\geq \frac{n}{m-i} \cdot \frac{k-i}{k} \cdot \frac{m-i}{k-i} = \frac{n}{k}
 \end{aligned}$$

How Good is the Greedy Monroe Rule?

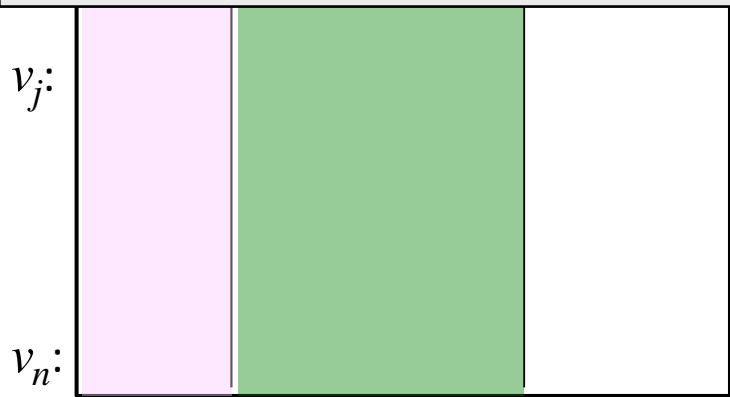
$$\begin{aligned}
 \text{score}(W) &= \sum_{i=1}^k \frac{n}{k} \cdot \left(m - i - \left\lceil \frac{m-i}{k-i} \right\rceil \right) \geq \sum_{i=1}^k \frac{n}{k} \cdot \left(m - i - \frac{m-i}{k-i} - 1 \right) \\
 &\geq \sum_{i=1}^k \frac{n}{k} \cdot \left(m - i - \frac{m-1}{k-i+1} + \frac{i-2}{k-i+1} \right) \\
 &= \frac{n}{k} \left(\frac{k(2m-k-1)}{2} - (m-1)H(k) + kH(k) - H(k) \right) \\
 &= (m-1)n \left(1 - \frac{k-1}{2(m-1)} - \frac{H(k)}{k} + \frac{H(k)-1}{m-1} - \frac{H(k)}{k(m-1)} \right) \\
 &> (m-1)n \left(1 - \frac{k-1}{2(m-1)} - \frac{H(k)}{k} \right)
 \end{aligned}$$



$$\begin{aligned}
 &\frac{1}{m-i} \left(\left(n - \frac{i \cdot n}{k} \right) \left\lceil \frac{m-i}{k-i} \right\rceil \right) \\
 &\geq \frac{n}{m-i} \cdot \frac{k-i}{k} \cdot \frac{m-i}{k-i} = \frac{n}{k}
 \end{aligned}$$

How Good is the Greedy Monroe Rule?

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 &\geq \sum_{i=1}^k \frac{n}{k} \cdot \left(m - i - \frac{m-1}{k-i+1} + \frac{i-2}{k-i+1} \right) \\
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 &= (m-1)n \left(1 - \frac{k-1}{2(m-1)} - \frac{H(k)}{k} + \frac{H(k)-1}{m-1} - \frac{H(k)}{k(m-1)} \right) \\
 &> (m-1)n \left(1 - \frac{k-1}{2(m-1)} - \frac{H(k)}{k} \right)
 \end{aligned}$$



We achieve: $1 - (k-1)/2(m-1) - H_k/k$ fraction of maximum possible satisfaction!

Okay, but is it really a good result?

