## PhD Open Course "Proportional Algorithms" Assignment

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You can choose 3 out of the following 4 problems.

**Problem 1.** Consider the Sainte-Laguë method of apportionment. The method works similarly to the D'Hondt method, but in the *i*-th round, it assigns a seat to the party j that has the maximum value of:

$$\frac{n_j}{2s_j(i)+1},$$

where:

- $n_j$  is the number of votes received by party j, and
- $s_j(i)$  is the number of seats already assigned to party j up to round i.

Questions:

- 1. Show that the Sainte-Laguë method fails to satisfy the lower quota axiom.
- 2. Analyze how well this method approximates the lower quota. Specifically, determine the largest value of  $\alpha$  such that a party with  $n_j$  votes is guaranteed to receive at least:

$$\left\lfloor \frac{n_j}{n} \cdot k\alpha \right\rfloor$$

seats, where n is the total number of votes and k is the total number of seats.

**Problem 2.** Consider the following rule, which is an adaptation of PAV (Proportional Approval Voting). Let  $A_i$  denote the approval set of voter *i*. The rule SLAV selects a subset *W* of size *k* that maximizes the following objective:

$$\sum_{i \in N} \sum_{j=1}^{|A_i \cap W|} \frac{1}{2j-1},$$

where N is the set of voters.

We say that a committee W satisfies  $\alpha$ -EJR (Extended Justified Representation with factor  $\alpha$ ) if, for each  $\ell$ -cohesive group of voters, there exists at least one voter *i* such that:

$$|A_i \cap W| \ge \lfloor \alpha \ell \rfloor$$

What is the largest value of  $\alpha$  such that the rule SLAV satisfies  $\alpha$ -EJR?

**Problem 3.** Consider the following rule for ordinal preferences. In round  $i, 1 \le i \le m$  (m is the number of candidates), we proceed as follows:

- 1. If there exists a not-yet-selected candidate who is ranked among the top *i* positions by at least  $\frac{n}{k}$  voters, we select this candidate.
- 2. After selecting such a candidate, we remove  $\frac{n}{k}$  voters (chosen arbitrarily) who rank this candidate in their top *i* positions.
- 3. If such candidate does not exist, we move to the next round, i := i + 1.

Prove or disprove the following statements by providing either a proof or a counterexample:

- 1. The above rule satisfies proportionality for solid coalitions.
- 2. The rule satisfies candidate monotonicity (pushing a candidate higher in some voters' rankings cannot harm this candidate).

Problem 4. Assume that voters' preferences are given as rankings.

- 1. Is it possible to define utilities based on these rankings so that the Method of Equal Shares satisfies the axiom of proportionality for solid coalitions?
- 2. How can we formulate this axiom in the context of participatory budgeting, where candidates (projects) may have different prices?