

FEDOR V. FOMIN

Graph Minors, Bidimensionality and Algorithms



PART IV

Warsaw, 2011

PART IV

Approximation algorithms

Definition: A c -**approximation** algorithm for a minimization problem is a polynomial-time algorithm that finds a solution of cost at most $\text{OPT} \cdot c$.

Examples:

- ▶ $\frac{3}{2}$ -approximation for METRIC TSP,
- ▶ 2-approximation for MINIMUM VERTEX COVER and MINIMUM FEEDBACK VERTEX SET
- ▶ $\frac{8}{7}$ -approximation for MAX 3SAT, etc.

- ▶ For some problems, we have lower bounds: there is no $(2 - \epsilon)$ -approximation for VERTEX COVER or $(\frac{8}{7} - \epsilon)$ -approximation for MAX 3SAT (under suitable complexity assumptions).
- ▶ For some other problems, arbitrarily good approximation is possible in polynomial time: for any $c > 1$ (say, $c = 1.000001$), there is a polynomial-time c -approximation algorithm!

Approximation schemes

Definition: A **polynomial-time approximation scheme (PTAS)**

for a problem P is an algorithm that takes an instance of P and a rational number $\epsilon > 0$,

- ▶ always finds a $(1 + \epsilon)$ -approximate solution,
- ▶ the running time is polynomial in n for every fixed $\epsilon > 0$.

Typical running times: $2^{1/\epsilon} \cdot n$, $n^{1/\epsilon}$, $(n/\epsilon)^2$, n^{1/ϵ^2} .

Some classical PTAS

- ▶ VERTEX COVER for planar graphs
- ▶ TSP in the Euclidean plane
- ▶ STEINER TREE in planar graphs
- ▶ KNAPSACK

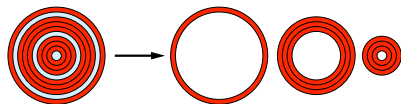
Shifting strategy

Classical approach: Baker [[J. ACM 1994](#)] and of Hochbaum and Maass [[J. ACM 1985](#)]

Example: Vertex Cover

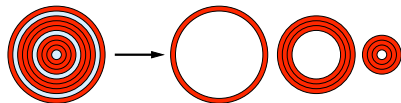
Fact: There is a $2^{O(1/\epsilon)} \cdot n$ time PTAS for VERTEX COVER for planar graphs.

Example: Vertex Cover



- ▶ Let $D := 1/(3\epsilon)$. For a fixed $0 \leq s < D$, delete every layer L_i with $i = s \pmod{D}$
- ▶ The resulting graph G_s is D -outerplanar, hence it has treewidth $O(D) = O(1/\epsilon)$.
- ▶ Using the $O(2^w \cdot n)$ time algorithm for VERTEX COVER, the problem on G_s can be solved in time $2^{O(1/\epsilon)} \cdot n$.

Example: Vertex Cover



- ▶ For a fixed $0 \leq s < D$, define F_s as the graph induced by layers L_{i-1}, L_i, L_{i+1} , $i = s \pmod{D}$.
- ▶ The resulting graph is 3-outerplanar, hence it has treewidth $O(1)$.
- ▶ For at least one value of s , F_s contains at most $3/D = \epsilon$ vertices of some optimal solution.
- ▶ The union of vertex covers of F_s and G_s is a $(1 + \epsilon)$ -approximate solution.

Let's take a different look

Bidimensionality and EPTAS

Branch-width separation

Lemma (Tree-width separation)

Let $G = (V, E)$ be a graph of treewidth t , and $w : V \rightarrow \{0, 1\}$ be a weight function. Then there is a set $S \subset V$ of size at most $t + 1$ such that the connected components C_1, \dots, C_ℓ of $G[V \setminus S]$ can be grouped into two sets \mathcal{C}_1 and \mathcal{C}_2 such that

$$\frac{w(V) - w(S)}{3} \leq w(C_i) \leq \frac{2(w(V) - w(S))}{3}, \text{ for } i \in \{1, 2\}.$$



Crucial Lemma

Lemma

Let X be a VC of size k in a planar graph G . For every $\varepsilon > 0$, \exists set $X' \subseteq V(G)$ such that

- ▶ $|X'| \leq \varepsilon k$;
- ▶ $\mathbf{bw}(G \setminus X') = O(\frac{1}{\varepsilon})$

In other words, G has a constant-treewidth vertex removal set of size $O(k)$.

Put it a bit differently

$\forall \varepsilon > 0, \exists X' \subseteq V(G)$ s.t.

▶ $|X'| \leq \varepsilon k;$ ▶

▶ $\mathbf{bw}(G \setminus X') = O(\frac{1}{\varepsilon})$ ▶

Put it a bit differently

$\forall \varepsilon > 0, \exists X' \subseteq V(G)$ s.t.

- ▶ $|X'| \leq \varepsilon k$;
- ▶ $\mathbf{bw}(G \setminus X') = O(\frac{1}{\varepsilon})$

$\forall \varepsilon > 0 \exists \delta > 0, X' \subseteq V(G)$ s.t.

- ▶ $|X'| \leq \varepsilon k$;
- ▶ \forall component C of $G \setminus X'$,
 $|V(C) \cap X| \leq \frac{1}{\varepsilon^2}$

Indeed, X is bidimensional, and thus

$$\mathbf{bw}(C) = O\sqrt{|V(C) \cap X|} = O(\frac{1}{\varepsilon}).$$

Proof

What we want to prove:

▶ $|X'| \leq \varepsilon k$;

▶ For every component C of $G \setminus X'$, $|V(C) \cap X| \leq \frac{1}{\varepsilon^2}$

If $k \leq \frac{1}{\varepsilon^2}$, we put $X' = \emptyset$.

Proof

Let $k > \frac{1}{\varepsilon^2}$.

Let $T(G, k)$ be the minimum size of the set X' s.t.

- ▶ For every component C of $G \setminus X'$, $|V(C) \cap X| = O(\frac{1}{\varepsilon^2})$

We prove that $T(G, k) \leq \varepsilon k - \delta\sqrt{k}$ for some $\delta > 0$.

Proof

We want to prove that $T(G, k) \leq \varepsilon k - \delta\sqrt{k}$.

Let S be a balanced X -separator.

Then

$$T(G, k) \leq |S| + \max_{1/3 \leq \alpha \leq 2/3} (T(G_1, \alpha k) + T(G_2, (1 - \alpha)k))$$

Proof

Take a weight function w assigning weight 1 to vertices of X and 0 to $V(G) \setminus X$.

By bidimensionality of VC, $\mathbf{bw}(G) = O(\sqrt{k})$. By Separation Lemma, G has a balanced X -separator of size at most $\beta\sqrt{k}$ for some $\beta > 0$.

Proof

Let S be a balanced X -separator of size at most $\beta\sqrt{k}$.

Then

$$\begin{aligned} T(G, k) &\leq |S| + \max_{1/3 \leq \alpha \leq 2/3} (T(G_1, \alpha k) + T(G_2, (1 - \alpha)k)) \\ &\leq \beta\sqrt{k} + T(G_1, \frac{k}{3}) + T(G_2, \frac{2k}{3}) \end{aligned}$$

Proof

$$\begin{aligned}T(G, k) &\leq |S| + \max_{1/3 \leq \alpha \leq 2/3} (T(G_1, \alpha k) + T(G_2, (1 - \alpha)k)) \\&\leq \beta\sqrt{k} + T(G_1, \frac{k}{3}) + T(G_2, \frac{2k}{3}) \\&\leq \beta\sqrt{k} + (\varepsilon\frac{k}{3} - \delta\sqrt{\frac{k}{3}}) + (\varepsilon\frac{2k}{3} - \delta\sqrt{\frac{2k}{3}}) \\&= \varepsilon k + \beta\sqrt{k} - \delta(\sqrt{\frac{k}{3}} - \sqrt{\frac{2k}{3}}) \\&\leq \varepsilon k - \delta\sqrt{k}\end{aligned}$$

for $\delta \geq \beta/(-1 + \sqrt{1/3} + \sqrt{2/3})$

Remark

If VC X is given, construction of [to-constant-branchwidth-removal](#) set X' can be done in polynomial time.

Algorithm

INPUT: Planar graph G , $\varepsilon > 0$

OUTPUT: vertex cover of size at most $(1 + \varepsilon)OPT$

Use well-known 2-approximation to compute VC of G : X

Put $\varepsilon' = \varepsilon/2$ and use Lemma to compute set $X' \subseteq V(G)$ s.t.

▶ $|X'| \leq \varepsilon'k$;

▶ $\text{bw}(G \setminus X') = O(\frac{1}{\varepsilon})$

Compute in time $O(2^{O(\frac{1}{\varepsilon})}n)$ optimum VC of $G \setminus X'$

Output $VC(G \setminus X') \cup X'$

Algorithm

$VC(G \setminus X') \cup X'$ is a VC in G of size

$$\begin{aligned} VC(G \setminus X') + |X'| &\leq VC(G \setminus X') + \varepsilon'|X| \\ &= VC(G) + \varepsilon'|X| \leq VC(G) + \varepsilon VC(G) = (1 + \varepsilon)OPT \end{aligned}$$

Usual questions

- ▶ What properties of Vertex Cover did we use?
- ▶ What properties of planar graph did we use?

What properties of Vertex Cover did we use?

- ▶ $\mathbf{bw}(G) = O(\sqrt{k})$, or **bidimensionality**. But $\mathbf{bw}(G) = o(k)$

also will do

What properties of Vertex Cover did we use?

- ▶ $\mathbf{bw}(G) = O(\sqrt{k})$, or **bidimensionality**. But $\mathbf{bw}(G) = o(k)$
also will do
- ▶ Constant factor approximation

What properties of Vertex Cover did we use?

- ▶ $\mathbf{bw}(G) = O(\sqrt{k})$, or **bidimensionality**. But $\mathbf{bw}(G) = o(k)$ also will do
- ▶ Constant factor approximation
- ▶ “Separability”, meaning that for separator S , and components

$$G[V \setminus S]$$

$$\sum_C OPT(C) \leq OPT(G) + |S|$$

Again,

$$\sum_C OPT(C) \leq OPT(G) + \gamma|S|$$

will do

What properties of Vertex Cover did we use?

- ▶ On graphs of constant branchwidth the problem is solvable in polynomial time
- ▶ **Remark:** For EPTAS problem is FPT parameterized by branchwidth

What about FVS?

- ▶ $\text{bw}(G) = O(\sqrt{k})$, or **bidimensionality**. OK.

What about FVS?

- ▶ $\text{bw}(G) = O(\sqrt{k})$, or **bidimensionality**. OK.
- ▶ Constant factor approximation. OK

What about FVS?

- ▶ $\text{bw}(G) = O(\sqrt{k})$, or **bidimensionality**. OK.
- ▶ Constant factor approximation. OK
- ▶ “Separability”, meaning that for separator S , and components

$$G[V \setminus S]$$

$$\sum_C \text{OPT}(C) \leq \text{OPT}(G) + |S|.$$

OK

What about FVS?

- ▶ $\text{bw}(G) = O(\sqrt{k})$, or **bidimensionality**. OK.

What about FVS?

- ▶ $\text{bw}(G) = O(\sqrt{k})$, or **bidimensionality**. OK.
- ▶ Constant factor approximation. OK

What about FVS?

- ▶ $\text{bw}(G) = O(\sqrt{k})$, or **bidimensionality**. OK.
- ▶ Constant factor approximation. OK
- ▶ “Separability” OK

What about FVS?

- ▶ $\text{bw}(G) = O(\sqrt{k})$, or **bidimensionality**. OK.
- ▶ Constant factor approximation. OK
- ▶ “Separability” OK
- ▶ Branchwidth algorithm. OK

What about FVS?

- ▶ $\text{bw}(G) = O(\sqrt{k})$, or **bidimensionality**. OK.
- ▶ Constant factor approximation. OK
- ▶ “Separability” OK
- ▶ Branchwidth algorithm. OK

Which means that FVS has PTAS on planar graphs!

What about Dominating Set?

- ▶ Should be a bit more careful to define separability property and use contraction bidimensionality

Crucial Lemma for DS

Lemma

Let X be a DS of size k in a planar graph G . For every $\varepsilon > 0$, \exists set $X' \subseteq V(G)$ such that

- ▶ $|X'| \leq \varepsilon k$;
- ▶ $\mathbf{bw}(G \setminus X') = O(\frac{1}{\varepsilon})$

In other words, G has a constant-treewidth vertex removal set of size $O(k)$.

Proof

As for VC, we put $T(G, k)$ be the minimum size of set X' .

We want to prove that for some $\delta > 0$, $T(G, k) \leq \varepsilon k + \delta\sqrt{k}$.

Let S be a balanced X -separator. Instead of removal S , we contract!

Then

$$T(G, k) \leq |S| + \max_{1/3 \leq \alpha \leq 2/3} (T(G_1, \alpha k) + T(G_2, (1 - \alpha)k))$$

Proof

Let S be a balanced X -separator.

By bidimensionality of DS, $\mathbf{bw}(G) = O(\sqrt{k})$. By separation lemma, G has a balanced X -separator of size at most $\beta\sqrt{k}$.

Proof

Let S be a balanced X -separator of size at most $\beta\sqrt{k}$.

Then

$$T(G, k) \leq \beta\sqrt{k} + T(G_1, \frac{k}{3}) + T(G_2, \frac{2k}{3})$$

Algorithm

INPUT: Planar graph G , $\varepsilon > 0$

OUTPUT: dominating set of size at most $(1 + \varepsilon)OPT$

Use a (constant) c -approximation to compute DS of planar graph

G : X

Put $\varepsilon' = \varepsilon/c$ and use Lemma to compute set $X' \subseteq V(G)$ s.t.

- ▶ $|X'| \leq \varepsilon'k$;
- ▶ $\text{bw}(G \setminus X') = O(\frac{1}{\varepsilon})$

For each component C_i of $G \setminus X'$ define C'_i as contracting G on C_i .

Compute in time $O(2^{O(\frac{1}{\varepsilon})}n)$ optimum solution D of union of C'_i

Algorithm

$D \cup X'$ is a DS in G of size

$$\begin{aligned} |D| + |X'| &\leq |D| + \varepsilon'|X| \\ &= DS(G) + \varepsilon'|X| \leq DS(G) + \varepsilon DS(G) = (1 + \varepsilon)OPT \end{aligned}$$

What about Connected Dominating Set?

Or shall we try to state a generic result?

Theorem

Let Π be a “reducible” minor- (contraction-) bidimensional problem with the “separation” property. There is an EPTAS for Π on planar graphs.

Where did we use planarity?

- ▶ Only for bidimensionality, i.e. the grid theorem

PTAS for Vertex Cover holds also on graphs excluding some fixed graph as a minor!

Where did we use planarity?

Theorem (FF, Lokshtanov, Raman, Saurabh, 2011)

Let Π be a “reducible” minor- (contraction-) bidimensional problem with the separation property and H be a (apex) graph.

There is an EPTAS for Π on the class of graphs excluding H as a minor.

EPTAS on H -minor-free graphs

FEEDBACK VERTEX SET, VERTEX COVER, CONNECTED
VERTEX COVER, CYCLE PACKING, DIAMOND HITTING SET,
VERTEX- \mathcal{H} -PACKING, VERTEX- \mathcal{H} -COVERING, MAXIMUM
INDUCED FOREST, MAXIMUM INDUCED BIPARTITE
SUBGRAPH, MAXIMUM INDUCED PLANAR SUBGRAPH ...

EPTAS on apex-minor-free graphs

EDGE DOMINATING SET, DOMINATING SET, r -DOMINATING SET, q -THRESHOLD DOMINATING SET, CONNECTED DOMINATING SET, DIRECTED DOMINATION, r -SCATTERED SET, MINIMUM MAXIMAL MATCHING, INDEPENDENT SET, MAXIMUM FULL-DEGREE SPANNING TREE, MAX INDUCED AT MOST d -DEGREE SUBGRAPH, MAX INTERNAL SPANNING TREE, INDUCED MATCHING, TRIANGLE PACKING ...



What we learned in this course?

- ▶ Graph Minors
- ▶ Implication of Graph Minors to Algorithms to check in polynomial time properties closed under minors
- ▶ Branchwidth and its obstructions
- ▶ Grid theorem

What we learned in this course?

- ▶ Bidimensionality
- ▶ Use of bidimensionality to design subexponential parameterized algorithms
- ▶ Catalan structures and dynamic programming
- ▶ Bidimensionality and PTAS

Further reading. Bidimensionality and PTAS

-  E. D. DEMAINE AND M. HAJIAGHAYI, *Bidimensionality: new connections between FPT algorithms and PTASs*, SODA 2005, 590–601.
-  F. V. FOMIN, D. LOKSHTANOV, V. RAMAN, AND S. SAURABH, *Bidimensionality and EPTAS*, SODA 2011.