

Symmetric Computation: Part 2

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Fixed-Point Logic with Counting

FPC is the class of *decision problems* definable in *fixed-point logic with counting*.

The decision problems are (isomorphism-closed) classes (or properties) of finite structures (such as graphs, Boolean formulas, systems of equations).

Every problem in FPC is in P;

Symmetric Circuits

Say C_n is *symmetric* if any permutation of $[n]$ applied to its inputs can be extended to an automorphism of C_n .

i.e., for each $\pi \in S_n$, there is an automorphism of C_n that takes input (i, j) to $(\pi i, \pi j)$.

Any symmetric circuit is invariant, but *not* conversely.

Consider the natural circuit for deciding whether the number of edges in an n -vertex graph is even.

Any invariant circuit can be converted to a symmetric circuit, but with potentially *exponential blow-up*.

Logic and Circuits

Any formula of φ *first-order logic* translates into a uniform family of circuits C_n

For each subformula $\psi(\bar{x})$ and each assignment \bar{a} of values to the free variables, we have a gate.

Existential quantifiers translate to big disjunctions, etc.

The circuit C_n is:

- of *constant* depth (given by the depth of φ);
- of size at most $c \cdot n^k$ where c is the number of subformulas of φ and k is the *maximum number of free variables* in any subformula of φ .
- *symmetric* by the action of $\pi \in S_n$ that takes $\psi[\bar{a}]$ to $\psi[\pi(\bar{a})]$.

FP and Circuits

For every sentence φ of FP there is a k such that for every n , there is a formula φ_n of L^k that is equivalent to φ on all graphs with at most n vertices.

The formula φ_n has

- *depth* n^c for some constant c ;
- at most k free variables in each sub-formula for some constant k .

It follows that every graph property definable in FP is given by a family of *polynomial-size, symmetric* circuits.

FPC and Counting

For every sentence φ of FP there is a k such that for every n , there is a formula φ_n of C^k that is equivalent to φ on all graphs with at most n vertices.

The formula φ_n has

- *depth* n^c for some constant c ;
- at most k free variables in each sub-formula for some constant k .

It follows that every graph property definable in FP is given by a family of *polynomial-size, symmetric* circuits in a basis with *threshold gates*.

Note: we could also alternatively take a basis with *majority* gates.

Relating Circuits and Logic

The following are established in (Anderson, D. 2017):

Theorem

A class of graphs is accepted by a P -uniform, polynomial-size, symmetric family of Boolean circuits if, and only if, it is definable by an FP formula interpreted in $G \uplus ([n], <)$.

Theorem

A class of graphs is accepted by a P -uniform, polynomial-size, symmetric family of threshold circuits if, and only if, it is definable in FPC.

Expressive Power of FPC

Most “*obviously*” polynomial-time algorithms can be expressed in FPC.

This includes P-complete problems such as

CVP—*the Circuit Value Problem*

Input: a *circuit*, i.e. a labelled DAG with source labels from $\{0, 1\}$, internal node labels from $\{\vee, \wedge, \neg\}$.

Decide: what is the value at the output gate.

CVP is expressible in FPC.

It is expressible in FPC also for circuits that may include *threshold or counting gates*.

Expressive Power of FPC

Many non-trivial polynomial-time algorithms can be expressed in FPC:

FPC captures all of P over any *proper minor-closed class of graphs*
(Grohe 2010)

But some cannot be expressed:

- There are polynomial-time decidable properties of graphs that are not definable in FPC. (Cai, Fürer, Immerman, 1992)
- *XOR-Sat*, or more generally, solvability of a system of linear equations over a finite field cannot be expressed in FPC. (Atserias, Bulatov, D. 2009)

Some NP-complete problems are *provably* not in FPC, including *Sat*, *Hamiltonicity* and *3-colourability*.

Counting Quantifiers

C^k is the logic obtained from *first-order logic* by allowing:

- allowing *counting quantifiers*: $\exists^i x \varphi$; and
- only the variables x_1, \dots, x_k .

Every formula of C^k is equivalent to a formula of first-order logic, albeit one with more variables.

For every sentence φ of FPC, there is a k such that if $\mathbb{A} \equiv^{C^k} \mathbb{B}$, then

$$\mathbb{A} \models \varphi \quad \text{if, and only if,} \quad \mathbb{B} \models \varphi.$$

Counting Game

Immerman and Lander (1990) defined a *pebble game* for C^k . This is again played by *Spoiler* and *Duplicator* using k pairs of pebbles $\{(a_1, b_1), \dots, (a_k, b_k)\}$.

At each move, *Spoiler* picks i and a set of vertices of one structure (say $X \subseteq B$)

Duplicator responds with a set of vertices of the other structure (say $Y \subseteq A$) of the same *size*.

Spoiler then places a_i on an element of Y and *Duplicator* must place b_i on an element of X .

Spoiler wins at any stage if the partial map from \mathbb{A} to \mathbb{B} defined by the pebble pairs is not a partial isomorphism

If *Duplicator* has a winning strategy for p moves, then \mathbb{A} and \mathbb{B} agree on all sentences of C^k of quantifier rank at most p .

Bijection Games

\equiv^{C^k} is also characterised by a k -pebble *bijection game*. (Hella 96).

The game is played on structures \mathbb{A} and \mathbb{B} with pebbles a_1, \dots, a_k on \mathbb{A} and b_1, \dots, b_k on \mathbb{B} .

- *Spoiler* chooses a pair of pebbles a_i and b_i ;
- *Duplicator* chooses a bijection $h : A \rightarrow B$ such that for pebbles a_j and $b_j (j \neq i)$, $h(a_j) = b_j$;
- *Spoiler* chooses $a \in A$ and places a_i on a and b_i on $h(a)$.

Duplicator loses if the partial map $a_i \mapsto b_i$ is not a partial isomorphism.

Duplicator has a strategy to play forever if, and only if, $\mathbb{A} \equiv^{C^k} \mathbb{B}$.

Equivalence of Games

It is easy to see that a winning strategy for *Duplicator* in the bijection game yields a winning strategy in the counting game:

Respond to a set $X \subseteq A$ (or $Y \subseteq B$) with $h(X)$ ($h^{-1}(Y)$), respectively).

For the other direction, consider the partition induced by the equivalence relation

$$\{(a, a') \mid (\mathbb{A}, \mathbf{a}[a/a_i]) \equiv^{C^k} (\mathbb{A}, \mathbf{a}[a'/a_i])\}$$

and for each of the parts X , take the response Y of *Duplicator* to a move where *Spoiler* would choose X .

Stitch these together to give the bijection h .

Cai-Fürer-Immerman Graphs

Cai-Fürer-Immerman show that there is a polynomial-time graph property that is not in **FPC** by constructing a sequence of pairs of graphs $G_k, H_k (k \in \omega)$ such that:

- $G_k \equiv^{C^k} H_k$ for all k .
- There is a polynomial time decidable class of graphs that includes all G_k and excludes all H_k .

In particular, the first point shows that \equiv^{C^k} (for any fixed k) does not capture isomorphism everywhere

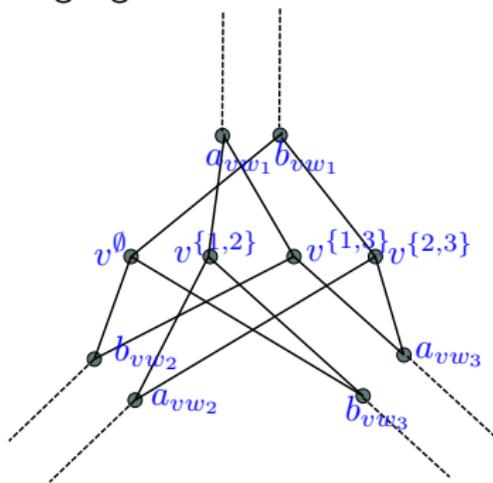
Constructing G_k and H_k

Given any graph G , we can define a graph X_G by replacing every edge with a pair of edges, and every vertex with a gadget.

The picture shows the gadget for a vertex v that is adjacent in G to vertices w_1, w_2 and w_3 .

The vertex v^S is adjacent to a_{vw_i} ($i \in S$) and b_{vw_i} ($i \notin S$) and there is one vertex for all **even size** S .

The graph \tilde{X}_G is like X_G except that at **one vertex** v , we include v^S for **odd size** S .



Properties

If G is *connected* and has *treewidth* at least k , then:

1. $X_G \not\cong \tilde{X}_G$; and
2. $X_G \equiv^{C^k} \tilde{X}_G$.

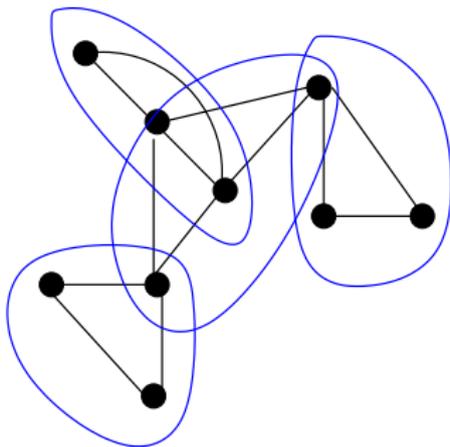
(1) allows us to construct a polynomial time property separating X_G and \tilde{X}_G .

(2) is proved by a game argument.

The original proof of (Cai, Fürer, Immerman) relied on the existence of balanced separators in G . The characterisation in terms of treewidth is from (D., Richerby 07).

TreeWidth

The *treewidth* of a graph is a measure of how tree-like the graph is. A graph has treewidth k if it can be covered by subgraphs of at most $k + 1$ nodes in a tree-like fashion.



TreeWidth

Formal Definition:

For a graph $G = (V, E)$, a *tree decomposition* of G is a relation $D \subset V \times T$ with a tree T such that:

- for each $v \in V$, the set $\{t \mid (v, t) \in D\}$ forms a connected subtree of T ; and
- for each edge $(u, v) \in E$, there is a $t \in T$ such that $(u, t), (v, t) \in D$.

We call $\beta(t) := \{v \mid (v, t) \in D\}$ the *bag* at t .

The *treewidth* of G is the least k such that there is a tree T and a tree-decomposition $D \subset V \times T$ such that for each $t \in T$,

$$|\{v \in V \mid (v, t) \in D\}| \leq k + 1.$$

Cops and Robbers

A game played on an undirected graph $G = (V, E)$ between a player controlling k cops and another player in charge of a robber.

At any point, the cops are sitting on a set $X \subseteq V$ of the nodes and the robber on a node $r \in V$.

A move consists in the cop player removing some cops from $X' \subseteq X$ nodes and announcing a new position Y for them. The robber responds by moving along a path from r to some node s such that the path does not go through $X \setminus X'$.

The new position is $(X \setminus X') \cup Y$ and s . If a cop and the robber are on the same node, the robber is caught and the game ends.

Strategies and Decompositions

Theorem (Seymour and Thomas 93):

There is a winning strategy for the *cop player* with k cops on a graph G if, and only if, the tree-width of G is at most $k - 1$.

It is not difficult to construct, from a tree decomposition of width k , a winning strategy for $k + 1$ cops.

Somewhat more involved to show that a winning strategy yields a decomposition.

Cops and Robbers on the Grid

If G is the $k \times k$ toroidal grid, then the *robber* has a winning strategy in the *k-cops and robbers* game played on G .

To show this, we note that for any set X of at most k vertices, the graph $G \setminus X$ contains a connected component with at least half the vertices of G .

If all vertices in X are in distinct rows then $G \setminus X$ is connected. Otherwise, $G \setminus X$ contains an entire row and in its connected component there are at least $k - 1$ vertices from at least $k/2$ columns.

Robber's strategy is to stay in the large component.

Cops, Robbers and Bijections

We use this to construct a winning strategy for Duplicator in the k -pebble bijection game on X_G and \tilde{X}_G .

- A bijection $h : X_G \rightarrow \tilde{X}_G$ is *good bar v* if it is an isomorphism everywhere except at the vertices v^S .
- If h is good bar v and there is a path from v to u , then there is a bijection h' that is good bar u such that h and h' differ only at vertices corresponding to the path from v to u .
- Duplicator plays bijections that are good bar v , where v is the *robber position* in G when the cop position is given by the currently pebbled elements.

Counting Width

For any class of structures \mathcal{C} , we define its *counting width* $\nu_{\mathcal{C}} : \mathbb{N} \rightarrow \mathbb{N}$ so that

$\nu_{\mathcal{C}}(n)$ is the least k such that \mathcal{C} restricted to structures with at most n elements is closed under \equiv_{C^k} .

Every class in **FPC** has counting width bounded by a *constant*.

The *CFI* construction based on *grids* gives a class of graphs in **P** that has counting width $\Omega(\sqrt{n})$.

This can be improved to $\Omega(n)$ by taking, instead of grids, *expander graphs*.

Interpretations

Given two relational signatures σ and τ , where $\tau = \langle R_1, \dots, R_r \rangle$, and arity of R_i is n_i

A *first-order interpretation of τ in σ* is a sequence:

$$\langle \pi_U, \pi_1, \dots, \pi_r \rangle$$

of first-order σ -formulas, such that, for some d :

- the free variables of π_U are among x_1, \dots, x_d ,
- and the free variables of π_i (for each i) are among $x_1, \dots, x_{d \cdot n_i}$.

d is the dimension of the interpretation.

Interpretations II

An interpretation of τ in σ maps σ -structures to τ -structures.

If \mathbb{A} is a σ -structure with universe A , then

$\pi(\mathbb{A})$ is a structure (B, R_1, \dots, R_r) with

- $B \subseteq A^d$ is the relation defined by π_U .
- for each i , R_i is the relation on B defined by π_i .

An **FO reduction** of a class of structures \mathcal{C} to a class \mathcal{D} is a single **FO** interpretation θ such that $\mathbb{A} \in \mathcal{C}$ if, and only if, $\theta(\mathbb{A}) \in \mathcal{D}$.

We write $\mathcal{C} \leq_{\text{FO}} \mathcal{D}$.

FPC-Reductions

More generally, we can defined reductions in any logic, *e.g.* FPC.

If $\mathcal{C} \leq_{\text{FPC}} \mathcal{D}$ then

$$\nu_{\mathcal{D}} = \Omega(\nu_{\mathcal{C}}^{1/d}).$$

If the reduction takes \mathcal{C} -instances to \mathcal{D} -instances of *linear size*, then

$$\nu_{\mathcal{D}} = \Omega(\nu_{\mathcal{C}}).$$

By means of reductions, we can estalish *3-Sat*, *XOR-Sat*, *3-Colourability*, *Hamiltonicity* all have counting width $\Omega(n)$.

Relating Circuits and Logic

The following are established in **(Anderson, D. 2017)**:

Theorem

*A class of graphs is accepted by a P -uniform, polynomial-size, symmetric family of Boolean circuits **if, and only if**, it is definable by an FP formula interpreted in $G \uplus ([n], <)$.*

Theorem

*A class of graphs is accepted by a P -uniform, polynomial-size, symmetric family of threshold circuits **if, and only if**, it is definable in FPC.*

Some Consequences

We get a natural and purely circuit-based characterisation of **FPC** definability.

Inexpressibility results for **FP** and **FPC** yield lower bound results against natural circuit classes.

- There is no polynomial-size family of symmetric Boolean circuits deciding if an n vertex graph has an even number of edges.
- Polynomial-size families of uniform symmetric *threshold circuits* are more powerful than Boolean circuits.
- Invariant circuits *cannot* be translated into equivalent symmetric threshold circuits, with only polynomial blow-up.

Symmetric Circuits for non-Boolean Queries

Instead of circuits computing *Boolean* (i.e. 0/1) queries, we can consider circuits C that compute an m -ary relation on an input graph.

The output gate is not unique. Instead, we have an *injective function* $\Omega : [n]^m \rightarrow C$.

The range of Ω forms the *output gates*.

The requirement that $\pi \in S_n$ extends to an automorphism $\hat{\pi}$ of C includes the condition:

$$\hat{\pi}(\Omega(x)) = \Omega(\pi(x))$$

Automorphisms of Symmetric Circuits

For a symmetric circuit C_n we can assume *w.l.o.g.* that the automorphism group is the symmetric group S_n acting in the natural way.

That is:

- Each $\pi \in S_n$ gives rise to a *non-trivial* automorphism of C_n (otherwise C_n would compute a constant function).
- There are no *non-trivial* automorphisms of C_n that fix all the inputs (otherwise there is redundancy in C_n that can be eliminated).

We call a circuit satisfying these conditions *rigid*.

By abuse of notation, we use $\pi \in S_n$ both for permutations of $[n]$ and automorphisms of C_n .

Stabilizers

For a gate g in C_n , $\text{Stab}(g)$ denotes the *stabilizer group of g* , i.e. the *subgroup* of S_n consisting:

$$\text{Stab}(g) = \{\pi \in S_n \mid \pi(g) = g\}.$$

The *orbit* of g is the set of gates $\{h \mid \pi(g) = h \text{ for some } \pi \in S_n\}$

By the *orbit-stabilizer* theorem, there is one gate in the orbit of g for each *co-set* of $\text{Stab}(g)$ in S_n .

Thus the size of the *orbit* of g in C_n is $[S_n : \text{Stab}(g)] = \frac{n!}{|\text{Stab}(g)|}$.

So, an upper bound on $|\text{Stab}(g)|$ gives us a lower bound on the orbit of g .

Conversely, knowing that the orbit of g is at most polynomial in n tells us that $|\text{Stab}(g)|$ is *big*.

Supports

For a group $G \subseteq S_n$, we say that a set $X \subseteq [n]$ is a *support* of G if

For every $\pi \in S_n$, if $\pi(x) = x$ for all $x \in X$, then $\pi \in G$.

In other words, G contains all permutations of $[n] \setminus X$.

So, if $|X| = k$, $[S_n : G]$ is at most $\frac{n!}{(n-k)!} \leq n^k$.

Groups with small support are *big*.

The converse is clearly false since $[S_n : A_n] = 2$, but A_n has no support of size less than $n - 1$.

Note: For the family of circuits $(C_n)_{n \in \omega}$ obtained from an FPC formula there is a constant k such that all gates in each C_n have a support of size at most k .

Support Theorem

In *polynomial size* symmetric circuits, all gates have (stabilizer groups with) *small* support:

Theorem

For any polynomial p , there is a k such that for all sufficiently large n , if C is a symmetric circuit on $[n]$ of size at most $p(n)$, then every gate in C has a support of size at most k .

The general form of the support theorem in **(Anderson, D. 2017)** gives bounds on the size of supports in *sub-exponential* circuits.

Alternating Supports

Groups with small support are *big*.

The converse is clearly false since $[S_n : A_n] = 2$, but A_n has no support of size less than $n - 1$.

In a sense, the alternating group is the *only* exception, due to a standard result from permutation group theory.

Theorem

If $n > 8$, $1 \leq k \leq n/4$, and G is a subgroup of S_n with $[S_n : G] < \binom{n}{k}$, then there is a set $X \subseteq [n]$ with $|X| < k$ such that $A_{(X)} \leq G$.

where $A_{(X)}$ denotes the group $\{\pi \in A_n : \pi(i) = i \text{ for all } i \in X\}$

Supports of Gates

Theorem

If $n > 8$ and $1 \leq k \leq n/4$, and G is a subgroup of S_n with $[S_n : G] < \binom{n}{k}$, then there is a set $X \subseteq [n]$ with $|X| < k$ such that $A_{(X)} \leq G$.

If $(C_n)_{n \in \omega}$ is a family of *symmetric* circuits of size n^k , then for all sufficiently large n and gates g in C_n , there is a set $X \subseteq [n]$ with $|X| \leq k$ such that $A_{(X)} \leq \text{Stab}(g)$.

It follows that if *any odd* permutation of $[n]$ that fixes X pointwise, also fixes g , then $S_{(X)} \leq \text{Stab}(g)$, so X is a support of g .

where $S_{(X)}$ denotes the group $\{\pi \in S_n : \pi(i) = i \text{ for all } i \in X\}$

Supports of Gates

Some odd permutation of $[n]$ that fixes X pointwise, also fixes g . (*)

We can prove, by induction on the depth of g in the circuit C_n that this must be the case.

It is clearly true for input gates $R(\bar{a})$, as any permutation that fixes \bar{a} fixes the gate.

Let g be a gate such that (*) is true for all gates that are inputs to g .

Since g computes a *symmetric* Boolean function, and C_n is *rigid*, any $\pi \in S_n$ that fixes the inputs to g *setwise*, fixes g .

Let H be the set of inputs to g . By induction hypothesis, they all have a support of size at most k

Supports of Gates

Some odd permutation of $[n]$ that fixes X pointwise, also fixes g . (*)

Let g be a gate such that (*) is true for all gates in H , but false for g

For any $i, j \in [n] \setminus X$, the permutation $(i j)$ moves g , so moves some $h \in H$.

$$[n] \setminus X \subseteq \bigcup_{h \in H} \text{sp}(h)$$

We can then find $\frac{n-k}{k}$ elements of H with *pairwise disjoint* support.

This gives us $\frac{n-k}{k}$ distinct permutations $(i j)$ which we can *independently* combine to show that the orbit of g has size at least $2^{(n-k)/k}$.

Support Theorem

In *polynomial size* symmetric circuits, all gates have (stabilizer groups with) *small* support:

Theorem

For any $1 > \epsilon \geq \frac{2}{3}$, let C be a symmetric s -gate circuit over $[n]$ with $n \geq 2^{\frac{56}{\epsilon^2}}$, and $s \leq 2^{n^{1-\epsilon}}$. Then every gate g of C has a support of size at most $\frac{33 \log s}{\epsilon \log n}$.

We write $\text{sp}(g)$ for the small support of g given by this theorem and note that it can be computed in polynomial time from a symmetric circuit C .

Translating Symmetric Circuits to Formulas

Given a polynomial-time function $n \mapsto C_n$ that generates symmetric circuits:

1. There are formulas of **FP** interpreted on $([n], <)$ that define the structure C_n .
2. We can also compute in polynomial time (and therefore in **FP** on $([n], <)$) $\text{sp}(g)$ for each gate g .
3. For an input structure \mathbb{A} and an assignment $\gamma : [n] \rightarrow \mathbb{A}$ of the inputs of C_n to elements of \mathbb{A} , whether g is made true depends only on $\gamma(\text{sp}(g))$.
4. We define, by induction on the structure of C_n , the set of tuples $\Gamma(g) \subseteq \mathbb{A}^{\text{sp}(g)}$ that represent assignments γ making g true.
5. This inductive definition can be turned into a formula (of **FP** for a Boolean circuit, of **FPC** for one with threshold gates.)

Circuits and Pebble Games

If \mathcal{C} is a symmetric circuit on n -vertex graphs such that every gate of \mathcal{C} has a support of size at most k , and \mathbb{A} and \mathbb{B} are graphs such that $\mathbb{A} \equiv_{\mathcal{C}^{2k}} \mathbb{B}$ then:

\mathcal{C} accepts \mathbb{A} if, and only if, \mathcal{C} accepts \mathbb{B} .

As a consequence, if \mathcal{C} is a class of structures of *counting width* $k : \mathbb{N} \rightarrow \mathbb{N}$, then any family of symmetric circuits accepting \mathcal{C} has size $\Omega(n^k)$.

at least for $k \leq \frac{n}{\log n}$.