

Learning-Assisted Automated Reasoning

Lecture 2

Cezary Kaliszyk

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Summary

Last time

- Theorem proving systems
- Machine learning problems
- Lemma relevance
- Features and deep approaches

Today

- ATP Calculi
- Resolution
- Orderings
- Reasoning with equality
- Learning the foundations

Slides include some material from the LICS and ATP courses by Aart Middeldorp and Georg Moser taught at the University of Innsbruck

Calculi and approaches

- Propositional logic: SAT
- Description logic and variants: tableaux
- First-order logic: (ordered) resolution, tableaux
- FOL with equality: superposition
- Higher-order logic: Satallax, Leo-III, ...
- Curry-Howard based systems: fragments or proof term generation

Definitions

- **clause** is set of literals $\{l_1, \dots, l_n\}$ representing formula $l_1 \vee \dots \vee l_n$
- **clausal form** is set of clauses $\{C_1, \dots, C_m\}$ representing formula $C_1 \wedge \dots \wedge C_m$

Corollary

\forall formula $\phi \exists$ clausal form ψ such that $\phi \equiv \psi$

Examples

CNF	clausal form
$\neg p \wedge (\neg q \vee \neg p) \wedge (\neg p \vee \neg r)$	$\{\{\neg p\}, \{\neg q, \neg p\}, \{\neg p, \neg r\}\}$
$(\neg p \vee q) \wedge (q \vee \neg r) \wedge (p \vee q \vee \neg r)$	$\{\{\neg p, q\}, \{q, \neg r\}, \{p, q, \neg r\}\}$
$(\neg p \vee \neg p) \wedge (q \vee r) \wedge (r \vee q)$	$\{\{\neg p\}, \{q, r\}\}$

Definition

literals l_1 and l_2 are **complementary** if $l_1 = \neg l_2$ or $\neg l_1 = l_2$
 $l_1 = l_2^c$

Notation

if l is literal then $l^c = \begin{cases} \neg p & \text{if } l = p \\ p & \text{if } l = \neg p \end{cases}$

Definition

- clauses C_1 and C_2 **clash** if \exists literal l with $l \in C_1$ and $l^c \in C_2$
- resolvent** of clashing clauses C_1 and C_2 is clause

$$(C_1 \setminus \{l\}) \cup (C_2 \setminus \{l^c\})$$

- C_1 and C_2 are **parent clauses** of resolvent

Resolution

input: clausal form S

output: yes if S is satisfiable
no if S is unsatisfiable

1. repeatedly add **resolvent** of pair of clashing clauses in S
2. return **no** as soon as **empty** clause \square is derived
3. return **yes** if all clashing clauses have been resolved

Definition

refutation of S is resolution derivation of \square from S

Theorem

resolution is sound and complete:

clausal form S is unsatisfiable if and only if S admits refutation

Example (1)

$$(\neg p \vee \neg q \vee r) \wedge (p \vee r) \wedge (q \vee r) \wedge \neg r$$

1. $\{\neg p, \neg q, r\}$
2. $\{p, r\}$
3. $\{q, r\}$
4. $\{\neg r\}$
5. $\{\neg q, r\}$ resolve 1, 2
6. $\{r\}$ resolve 3, 5
7. \square resolve 4, 6

unsatisfiable

Definition

- **literal** is atom $P(t_1, \dots, t_n)$ or negation of atom $\neg P(t_1, \dots, t_n)$
- **clause** is set of literals $\{l_1, \dots, l_n\}$, representing $l_1 \vee \dots \vee l_n$
- **clausal form** is set of clauses $\{C_1, \dots, C_m\}$, representing $\forall (C_1 \wedge \dots \wedge C_m)$
- clauses C_1 and C_2 **without common variables clash** if \exists literals $l_1 \in C_1$ and $l_2 \in C_2$ such that l_1 and l_2^c are unifiable
- **binary resolvent** of clashing clauses C_1 and C_2 is clause

$$((C_1 \setminus \{l_1\}) \cup (C_2 \setminus \{l_2\}))\theta$$

where θ is mgu of l_1 and l_2^c

Example (1)

1. $\{\neg P(x), Q(x), R(x, f(x))\}$
2. $\{\neg P(x), Q(x), S(f(x))\}$
3. $\{T(a)\}$
4. $\{P(a)\}$
5. $\{\neg R(a, y), T(y)\}$
6. $\{\neg T(x), \neg Q(x)\}$
7. $\{\neg T(x), \neg S(x)\}$
8. $\{\neg Q(a)\}$ resolve 3, 6 $\{a/x\}$
9. $\{Q(a), S(f(a))\}$ resolve 2, 4 $\{a/x\}$
10. $\{Q(a), R(a, f(a))\}$ resolve 1, 4 $\{a/x\}$
11. $\{S(f(a))\}$ resolve 8, 9
12. $\{R(a, f(a))\}$ resolve 8, 10
13. $\{T(f(a))\}$ resolve 5, 12 $\{f(a)/y\}$
14. $\{\neg S(f(a))\}$ resolve 7, 13 $\{f(a)/x\}$
15. \square resolve 11, 14

Theorem

binary resolution is **sound**:

clausal form S is unsatisfiable if S admits refutation

Problem

binary resolution is **incomplete**

Example

1. $\{P(x), P(y)\}$
2. $\{\neg P(x'), \neg P(y')\}$
3. $\{P(y), \neg P(y')\}$ resolve 1, 2 $\{x'/x\}$

unsatisfiable but **no** refutation

Solution

incorporate **factoring**: $C\sigma$ is a **factor** of C if two or more literals in C have mgu σ

Example

1. $\{P(x), P(y)\}$

factor $\{P(x)\}$

2. $\{\neg P(x'), \neg P(y')\}$

factor $\{\neg P(x')\}$

3. \square

refutation

First-Order Resolution

Definition

$$\text{resolution} \quad \frac{C \vee A \quad D \vee \neg B}{(C \vee D)\sigma}$$

$$\text{factoring} \quad \frac{C \vee A \vee B}{(C \vee A)\sigma}$$

σ is a mgu of the **atomic** formulas A and B

Theorem

resolution is sound and complete:

clausal form S is unsatisfiable if and only if S admits refutation

We can now build a prover based on resolution. But will it be efficient?

Definitions

- a **proper order** is a irreflexive and transitive relation
- a **quasi-order** is reflexive and transitive
- a **partial order** is an anti-symmetric quasi-order
- a proper order \succ on a set A is **well-founded** (on A) if

$$\neg \exists a_1 \succ a_2 \succ \dots \quad a_i \in A$$

- a **well-founded order** is a well-founded proper order
- a **linear** (or **total**) order fulfills:
 $\forall a, b \in A, a \neq b, \text{ either } a \succ b \text{ or } b \succ a$
- a **well-order** is a linear well-founded order

Example

\succcurlyeq on \mathbb{N} is a partial order; we often write $(\mathbb{N}, \succcurlyeq)$ to indicate the domain; $(\mathbb{N}, \succcurlyeq)$ is not well-founded, but (\mathbb{N}, \succ) is a well-order

Orders on Literals

Definition

- let \succ be a well-founded and total order on ground atomic formulas
- extend \succ to a well-founded proper order \succ_L total on ground literals such that:
 1. if $A \succ B$, then $A \succ_L B$ and $\neg A \succ_L \neg B$
 2. $\neg A \succ_L A$

Example

- consider a well-founded proper order \succ on atoms that is total on ground atomic formulas
- identify an atom A with the multiset $\{A\}$ and $\neg A$ with $\{A, A\}$
- set $\succ_L \parallel \succ_{mul}$
- \succ_L fulfills the above conditions

Example: KBOs

Definitions

$$w(x) = w_0 \geq 1$$

- let \succ denote a precedence on some signature
- let w denote an **admissible** weight function into natural numbers

Definition

$s \succ_{\text{kbo}} t$ if $\forall x: |s|_x \geq |t|_x$ and

extension of w to terms

1. $\text{weight}(s) > \text{weight}(t)$, or
2. $\text{weight}(s) = \text{weight}(t)$, and one of the following alternatives holds:
 - 2.1 t is a variable, $s = f^n(t)$, $n > 0$,
 - 2.2 $s = f(s_1, \dots, s_n)$, $t = f(t_1, \dots, t_n)$,
 $\exists i$ such that $s_i \succ_{\text{kbo}} t_i \forall 1 \leq j < i: s_j = t_j$
 - 2.3 $s = f(s_1, \dots, s_n)$, $t = g(t_1, \dots, t_m)$, $f \succ g$.

Ordered Resolution Calculus

σ is ground if $E\sigma$ is ground

Definition

- a literal L is **maximal** if \exists ground σ such that for no other literal M : $M\sigma \succ_L L\sigma$
- L is **strictly maximal** if \exists ground σ such that for no other literal M : $M\sigma \succcurlyeq_L L\sigma$; here \succcurlyeq_L denotes the reflexive closure

Definition

ordered resolution

$$\frac{C \vee A \quad D \vee \neg B}{(C \vee D)\sigma}$$

ordered factoring

$$\frac{C \vee A \vee B}{(C \vee A)\sigma}$$

1. σ is a mgu of the atomic formulas A and B
2. $A\sigma$ is **strictly maximal** with respect to $C\sigma$; $\neg B\sigma$ is **maximal** with respect to $D\sigma$

Subsumption

Lemma

application of subsumption and tautology elimination as pre-processing steps preserves soundness and completeness

Definition

subsumption and resolution can be combined in the following ways

1. forward subsumption
newly derived clauses subsumed by existing clauses are deleted
2. backward subsumption
existing clauses C subsumed by newly derived clauses D become inactive; inactive clauses have to be reactivated, if D is no longer an ancestor of current clause (e.g. D has been deleted)

Tautology Elimination

Definition

- a clause C containing complementary literals is a tautology
- **tautology elimination** is the process of removing newly derived tautological clauses (that is, we assume the initial clause set is taut-reduced)

Example

consider the clause

$$P(f(a, b)) \vee \neg P(f(x, b)) \vee \neg P(f(a, y))$$

(unrestricted) factoring yields the tautology $P(f(a, b)) \vee \neg P(f(a, b))$

Paramodulation Calculus

Definition

- let \square be a fresh constant; let \mathcal{L} be our basic language
- terms of $\mathcal{L} \cup \{\square\}$ such that \square occurs exactly once, are called **contexts**
- empty context is denoted as \square
- for context $C[\square]$ and a term t
we write $C[t]$ for the replacement of \square by t

Example

- let $\mathcal{L} = \{c, f, P\}$
- $P(f(\square)) =: C[\square]$ is a context
- $C[f(c)] = P(f(f(c)))$

Definition

$$\frac{C \vee A \quad D \vee \neg B}{(C \vee D)\sigma_1}$$

$$\frac{C \vee s \neq s'}{C\sigma_2}$$

$$\frac{C \vee A \vee B}{(C \vee A)\sigma_1}$$

$$\frac{C \vee s = t \quad D \vee L[s']}{(C \vee D \vee L[t])\sigma_2}$$

- σ_1 is a mgu of A and B (A, B atomic)
- σ_2 is a mgu of s and s'

Example

consider $\mathcal{C} = \{c \neq d, b = d, a \neq d \vee a = c, a = b \vee a = d\}$

$$\frac{b = d \quad a = b \vee a = d}{a = d \vee a = d}$$

$$\frac{a = d}{a = d}$$

$$\frac{a = d \quad c \neq d}{a \neq c}$$

$$\frac{a = d \quad a \neq d \vee a = c}{d \neq d \vee a = c}$$

$$\frac{d \neq d \vee a = c}{a = c}$$

□

Ordered Paramodulation Calculus

Definition

$$\frac{C \vee A \quad D \vee \neg B}{(C \vee D)\sigma_1}$$
$$\frac{C \vee s \neq s'}{C\sigma_2}$$

$$\frac{C \vee A \vee B}{(C \vee A)\sigma_1}$$
$$\frac{C \vee s = t \quad D \vee L[s']}{(C \vee D \vee L[t])\sigma_2}$$

- same conditions on σ_1, σ_2 as before
- $A\sigma_1$ is **strictly maximal** with respect to $C\sigma_1$; $\neg B\sigma_1$ is **maximal** with respect to $D\sigma_1$
- the equation $(s = t)\sigma_2$ and the literal $L[s']\sigma_2$ are **maximal** with respect to $D\sigma_2$

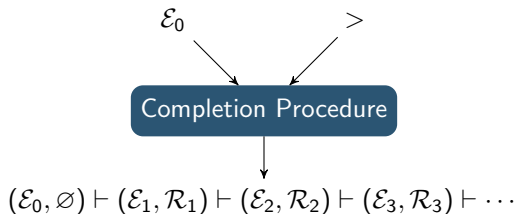
Theorem

ordered paramodulation is sound and complete

But we still deal with equalities in an unrestricted way!

Completion

Input: Initial set of equations and a reduction order



deduce $\frac{(\mathcal{E}, \mathcal{R})}{(\mathcal{E} \cup \{s \approx t\}, \mathcal{R})}$ if $s \mathcal{R} \leftarrow u \rightarrow_{\mathcal{R}} t$

delete $\frac{(\mathcal{E} \cup \{s \approx s\}, \mathcal{R})}{(\mathcal{E}, \mathcal{R})}$

orient $\frac{(\mathcal{E} \cup \{s \approx t\}, \mathcal{R})}{(\mathcal{E}, \mathcal{R} \cup \{s \rightarrow t\})}$ if $s > t$

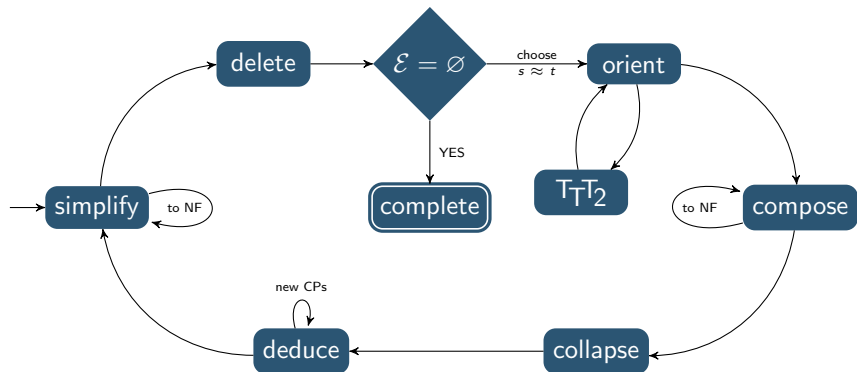
compose $\frac{(\mathcal{E}, \mathcal{R} \cup \{s \rightarrow t\})}{(\mathcal{E}, \mathcal{R} \cup \{s \rightarrow u\})}$ if $t \rightarrow_{\mathcal{R}} u$

simplify $\frac{(\mathcal{E} \cup \{s \approx t\}, \mathcal{R})}{(\mathcal{E} \cup \{u \approx t\}, \mathcal{R})}$ if $s \rightarrow_{\mathcal{R}} u$

collapse $\frac{(\mathcal{E}, \mathcal{R} \cup \{s \rightarrow t\})}{(\mathcal{E} \cup \{u \approx t\}, \mathcal{R})}$ if $s \exists_{\mathcal{R}} u$

Knuth-Bendix Completion

- Knuth-Bendix completion in term rewriting research
- Reduction order derived on the fly



Superposition Calculus

Definition

$$\frac{C \vee A \quad D \vee \neg B}{(C \vee D)\sigma} \text{ ORe}$$

$$\frac{C \vee s = t \quad D \vee \neg A[s']}{(C \vee D \vee \neg A[t])\sigma} \text{ OPm(L)}$$

$$\frac{C \vee s = t \quad D \vee u[s'] \neq v}{(C \vee D \vee u[t] \neq v)\sigma} \text{ SpL}$$

$$\frac{C \vee s \neq t}{C\sigma} \text{ ERR}$$

$$\frac{C \vee A \vee B}{(C \vee A)\sigma} \text{ OFc}$$

$$\frac{C \vee s = t \quad D \vee A[s']}{(C \vee D \vee A[t])\sigma} \text{ OPm(R)}$$

$$\frac{C \vee s = t \quad D \vee u[s'] = v}{(C \vee D \vee u[t] = v)\sigma} \text{ SpR}$$

$$\frac{C \vee u = v \vee s = t}{(C \vee v \neq t \vee u = t)\sigma} \text{ EFc}$$

- ORe and OFc are **ordered resolution** and **ordered factoring**
- OPm(L), OPm(R), SpL, SpR stands for **ordered paramodulation** and **superposition** (left or right)
- ERR means **equality resolution** and EFc means **equality factoring**

Definition (Definition (cont'd))

$$\frac{C \vee A \quad D \vee \neg B}{(C \vee D)\sigma} \text{ ORe}$$

$$\frac{C \vee A \vee B}{(C \vee A)\sigma} \text{ OFc}$$

$$\frac{C \vee s = t \quad D \vee \neg A[s']}{(C \vee D \vee \neg A[t])\sigma} \text{ OPm(L)}$$

$$\frac{C \vee s = t \quad D \vee A[s']}{(C \vee D \vee A[t])\sigma} \text{ OPm(R)}$$

$$\frac{C \vee s = t \quad D \vee u[s'] \neq v}{(C \vee D \vee u[t] \neq v)\sigma} \text{ SpL}$$

$$\frac{C \vee s = t \quad D \vee u[s'] = v}{(C \vee D \vee u[t] = v)\sigma} \text{ SpR}$$

$$\frac{C \vee s \neq t}{C\sigma} \text{ ERR}$$

$$\frac{C \vee u = v \vee s = t}{(C \vee v \neq t \vee u = t)\sigma} \text{ EFc}$$

constraints:

1. for the **superposition rules**: σ is a mgu of s and s' , s' not a variable, $t\sigma \not\approx s\sigma$, $v\sigma \not\approx u[s']\sigma$, $(s = t)\sigma$ is strictly maximal wrt $C\sigma$
2. $\neg A[s']$ and $u[s'] \neq v$ are maximal, while $A[s']$ and $u[s'] = v$ are strictly maximal wrt $D\sigma$
3. $(s = t)\sigma \not\approx (u[s'] = v)\sigma$

Redundancy and Saturation

Definitions

- a **ground clause** C is **redundant** wrt a ground clause set \mathcal{C} if $\exists C_1, \dots, C_k$ in \mathcal{C} such that

$$C_1, \dots, C_k \models C \quad C \succ C_i$$

- a **ground inference** with main premise C

$$\frac{C_1 \quad \dots \quad C_n \quad C}{D}$$

is **redundant** (wrt \mathcal{C}) if

- $D \succ C$, or
 - $\exists D_1, \dots, D_k$ with $D_i \in \mathcal{C}_C$ such that $D_1, \dots, D_k, C_1, \dots, C_n \models D$
- \mathcal{C} is **saturated upto redundancy** if all inferences from non-redundant premises are redundant